



## Summertime photochemistry during CAREBeijing-2007: RO<sub>x</sub> budgets and O<sub>3</sub> formation

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**Abstract.** We analyze summertime photochemistry near the surface in Beijing, China, using a 1-D photochemical model (Regional chEmical and trAnsport Model, REAM-1D) constrained by in situ observations, focusing on the budgets of RO<sub>x</sub> (OH + HO<sub>2</sub> + RO<sub>2</sub>) radicals and O<sub>3</sub> formation. While the modeling analysis focuses on near-surface photochemical budgets, the implications for the budget of O<sub>3</sub> in the planetary boundary layer are also discussed. In terms of daytime average, the total RO<sub>x</sub> primary production rate near the surface in Beijing is 6.6 ppbv per hour (ppbv h<sup>-1</sup>, among the highest found in urban atmospheres. The largest primary RO<sub>x</sub> source in Beijing is photolysis of oxygenated volatile organic compounds (OVOCs), which produces HO<sub>2</sub> and RO<sub>2</sub> at 2.5 ppbv h<sup>-1</sup> and 1.7 ppbv h<sup>-1</sup>, respectively. Photolysis of excess HONO from an unknown heterogeneous source is the predominant primary OH source at 2.2 ppbv h<sup>-1</sup>, much larger than that of O<sup>1</sup>D+H<sub>2</sub>O (0.4 ppbv h<sup>-1</sup>). The largest RO<sub>x</sub> sink is via OH + NO<sub>2</sub> reaction (1.6 ppbv h<sup>-1</sup>), followed by formation of RO<sub>2</sub>NO<sub>2</sub> (1.0 ppbv h<sup>-1</sup>) and RONO<sub>2</sub> (0.7 ppbv h<sup>-1</sup>). Due to the large aerosol surface area, aerosol uptake of HO<sub>2</sub> appears to be another important radical sink, although the estimate of its magnitude is highly variable depending on the uptake coefficient value used. The daytime average O<sub>3</sub> production and loss rates near the surface are 32 ppbv h<sup>-1</sup> and 6.2 ppbv h<sup>-1</sup>, respectively. Assuming NO<sub>2</sub> to be the source of excess HONO, the NO<sub>2</sub> to HONO trans-

formation leads to considerable O<sub>3</sub> loss and reduction of its lifetime. Our observation-constrained modeling analysis suggests that oxidation of VOCs (especially aromatics) and heterogeneous reactions (e.g. HONO formation and aerosol uptake HO<sub>2</sub>) play potentially critical roles in the primary radical budget and O<sub>3</sub> formation in Beijing. One important ramification is that O<sub>3</sub> production is neither NO<sub>x</sub> nor VOC limited, but in a transition regime where reduction of either NO<sub>x</sub> or VOCs could result in reduction of O<sub>3</sub> production. The transition regime implies more flexibility in the O<sub>3</sub> control strategies than a binary system of either NO<sub>x</sub> or VOC limited regime. The co-benefit of concurrent reduction of both NO<sub>x</sub> and VOCs in reducing column O<sub>3</sub> production integrated in the planetary boundary layer is significant. Further research on the spatial extent of the transition regime over the polluted eastern China is critically important for controlling regional O<sub>3</sub> pollution.

### 1 Introduction

Photochemical smog was first documented in 1950s in Los Angeles (Haagen-Smit and Fox, 1954), and is nowadays a prevalent air pollution phenomenon around the world (e.g., Molina and Molina, 2004; Monks, et al., 2010). A major contributor to smog is the production of secondary pollutants

such as  $O_3$  and aerosols from photochemical reactions involving  $NO_x$  ( $NO_x \equiv NO + NO_2$ ) and volatile organic compounds (VOCs), which are emitted from various anthropogenic and natural sources. Over the past decades, continuously improving knowledge of photochemical pollution has successfully served as the basis for formulating the pollution control strategies in the United States (NRC, 1991; NARSTO, 2000). Uncertainties of photochemical modeling in some regions remain large due to the lack of accurate emission inventories (NARSTO, 2005; Liu et al., 2012) and the current incomplete knowledge of chemistry (e.g. Volkamer et al., 2010; Lin et al., 2012).

A region of concern is China. The rapidly increasing emissions of  $NO_x$  and VOCs over China since 1980s driven by economic growth have been observed by satellites (e.g., Richter et al., 2005) and documented in bottom-up inventories (e.g., Zhang et al., 2009). As an expected consequence, elevated  $O_3$  (e.g., Wang et al., 2006) and peroxy acetyl nitrates (PANs) (e.g., Liu et al., 2010) accompanied by high loadings of aerosols (e.g. Chan and Yao, 2008; Zhang et al., 2008) have been observed in the country. Severe  $O_3$  and aerosol pollution on an unprecedented large regional scale (Zhao et al., 2009a; van Donkelaar et al., 2010) have also drawn attention given the large impact on public health.

Furthermore, some recent observations over China highlighted the complexity of photochemistry that cannot be fully explained by current knowledge. For example, surprisingly high daytime HONO concentrations from unknown sources have been observed in Beijing (An et al., 2009) and the Pearl River Delta (PRD) region (Su et al., 2008, 2011). At a suburban site in PRD, current standard photochemistry could not explain the observed level of OH, the key oxidant in the troposphere (Hofzumahaus et al., 2009; Lu et al., 2012). Due to the high loading of aerosols, heterogeneous chemistry appears to strongly affect the radical budget (Kanaya et al., 2009) and reactive nitrogen processing (Pathak et al., 2009). A case in point is that we still do not have a clear understanding of how the large emission reductions affected secondary pollutants during the 2008 summer Beijing Olympic and Paralympic Games. The chemical transport modeling study by Yang et al. (2011) demonstrated highly variable chemical sensitivities of  $O_3$  to its precursor emissions due to the uncertain emissions of aromatic VOCs. However, the sensitivity relations are very difficult to derive from observations. For example, Wang et al. (2010) found increases of  $O_3$ , sulfate and nitrate while  $NO_x$  and VOCs decreased at an urban site in Beijing in the first two weeks after the emission control for the Olympics Game. A similar finding was reported at another urban site in Beijing (Chou et al., 2011). These findings reflect the fact that the effects on  $O_3$  from precursor emission changes can be masked by the variations in the spatial pollutant distribution and meteorological conditions for dispersion, transport, and chemical photolysis.

Given the difficulty of interpreting observations, an alternative approach is through in-depth observation-based mod-

eling analyses to probe into the chemical system. In this work, we analyze the  $O_3$  photochemical processes in Beijing in August 2007 during the CAREBeijing (Campaigns of Air quality REsearch in Beijing) Experiment employing the 1-D version of the Regional chEmical and trAnsport Model (REAM-1D) constrained by observed chemical species and physical parameters, including  $O_3$ , NO, PAN, HONO, VOCs, and aerosol surface areas. Through detailed chemical budget analysis, we aim to gain a detailed understanding of the budgets of  $RO_x$  ( $OH + HO_2 +$  organic peroxy radicals ( $RO_2$ )) radicals and formation processes of  $O_3$ . A relatively large number of sensitivity experiments are conducted to address the uncertainties in chemistry and diagnose the response of  $O_3$  formation to emission control strategies. The sensitivity experiments are chosen to understand the impacts of aromatics, the observed high concentrations of HONO in daytime, and aerosol uptake of  $HO_2$  separately, such that the effects of the uncertainties in measurements and chemical understanding are apparent from the model results.

The remainder of the paper proceeds as follows. In Sect. 2, we describe the measurement methods and the REAM-1D model (model setup, sensitivity experiments, and diagnostics). Section 3 presents the modeling analysis results. We show the budgets of  $RO_x$  radicals in Sect. 3.1, which will also form the basis for analyzing production and loss rates of  $O_3$  in Sect. 3.2. We then further elaborate on the significant roles and uncertainties of aromatics, HONO, and aerosol uptake of  $HO_2$  in the budgets of radicals and  $O_3$ , respectively, in Sect. 3.3. The sensitivities of  $O_3$  production to  $NO_x$  and VOCs are examined in Sect. 3.4. Finally, in Sect. 4, we summarize our findings and discuss the implications for  $O_3$  pollution control strategies over China.

## 2 Methodology

### 2.1 Measurement methods

During the CAREBeijing-2007 experiment (Zhu et al., 2009), a full suite of trace gases were measured concurrently in August 2007 at an urban site located on a building roof top ( $\sim 20$  m above the ground level) on the campus of Peking University (PKU) ( $39.99^\circ$  N,  $116.31^\circ$  E). Nitrogen monoxide (NO) was measured with a custom-made chemiluminescence detector (Ryerson et al., 2000). Total reactive nitrogen compounds ( $NO_y$  gas-phase only) were measured by the conversion of the  $NO_y$  species to NO on a molybdenum converter operated at  $300^\circ$  C. PAN was measured using a chemical ionization mass spectrometer (CIMS) (Slusher et al., 2004). HONO was measured with a liquid coil scrubbing/UV-VIS instrument (Amoroso et al., 2006).  $O_3$  and CO were measured by commercial instruments from the ECOTECH (EC9810 and EC9830).  $C_3$ - $C_9$  NMHCs were measured with a time resolution of 30 min using two online GC-FID/PID systems (Syntech Spectra

GC-FID/PID GC955 series 600/800 VOC analyzer), one for the C<sub>3</sub>–C<sub>5</sub> NMHCs, and the other for C<sub>6</sub>–C<sub>9</sub> NMHCs (Shao et al., 2009). Another automated GC/MS/FID system was deployed to measure NMHCs in daytime (08:00–09:00 and 13:00–14:00) (Hofzumahaus et al., 2009). OVOCs were measured using the PFPH-GC/MS method (Ho and Yu, 2004). Size distributions of aerosols (3 nm–10 μm) measured every 10 min with a Twin Differential Mobility Particle Sizer -Aerodynamic Particle Sizer (TDMPS-APS) were used to calculate aerosol surface areas. The uncertainties (1σ) for these measurements are estimated to be 5 % for NO, O<sub>3</sub>, CO, 3–5 % for NMHCs, 10 % for NO<sub>y</sub>, PAN, HONO and OVOCs. More detailed descriptions of the instruments and measurement methods are available in the supplement.

## 2.2 The REAM-1D model and sensitivity experiments

The 3-D version of the Regional chEmical and trAnsport Model (REAM-3D) has been applied in a number of studies on O<sub>3</sub> photochemistry and transport at northern mid-latitudes (Choi et al., 2005, 2008a, b; Wang et al., 2007; Zhao et al., 2009a, b, 2010; Zhao and Wang, 2009; Yang et al., 2011; Liu et al., 2012). The REAM-1D model shares the modules for O<sub>3</sub>-NO<sub>x</sub>-hydrocarbon photochemistry, vertical diffusion, convective transport, and wet/dry deposition (Liu et al., 2010) with the REAM-3D model. The chemical kinetics data were updated with the latest compilation by Sander et al. (2011), and the VOC chemistry in REAM-3D is expanded to include the chemistry of aromatics based on the SAPRC-07 chemical mechanism (Carter, 2009). Vertical transport is driven by WRF assimilated meteorological fields based on the NCEP reanalysis data (Zhao et al., 2009a). Meteorological parameters (i.e. water vapor concentrations, temperature, pressure, and diffusion coefficient) in the model are taken from WRF outputs. Photolysis rates are dependent on cloud fraction and optical depth calculated based on WRF meteorological fields (Choi et al., 2008b).

The standard 1-D model is constrained with measured CO, O<sub>3</sub>, NO, HONO, NMHCs (C<sub>2</sub>–C<sub>9</sub>), OVOCs (acetone, acetaldehyde and formaldehyde) and aerosol surface areas in the first layer. With these tracers transported vertically (mostly via eddy diffusion), such a setup is similar to a 1-D model with specified emissions from the surface (Trainer et al., 1991), except that the model in this study is constrained by available observations. 3-D REAM model simulated chemical tracer concentrations (Zhao et al., 2010) in the column over Beijing were used as initial and boundary conditions at upper model layers. For measurements made with a time resolution longer than 1 min (e.g. NMHCs and OVOCs, aerosol surface areas), constant measured values were assigned during the measurement period. Missing data points on some days due to instrumental issues were replaced with the corresponding value in the overall average diurnal profile at the time of missing data. The 1-D model was run with a 1-min time step, continuously from 1 August to 30 August

2007 and the results for the last 20 days were analyzed after a spin-up time of 10 days. The REAM-1D model with such a setup is able to reproduce the observed PAN near the surface in Beijing, which has been shown to have equal contributions by chemistry near the surface and from downward vertical transport (Liu et al., 2010). In addition to resolving vertical mixing in the planetary boundary layer (PBL), the 1-D model allows for explicit computation of dry deposition rates, which are assumed or estimated as lifetime parameters in box models. For additional information of the REAM-1D model and its performance, we refer the readers to the supplement and Liu et al. (2010).

OVOC and HONO both have photochemical sources and sinks. Their concentrations in the model cannot be specified using observed values when the sensitivities of RO<sub>x</sub> budgets and O<sub>3</sub> production to these species are analyzed. Model simulated OVOCs, including formaldehyde, acetaldehyde, and acetone, methylglyoxal, and glyoxal, agree with the observations within 20 % in terms of daytime average concentrations (Table S3 in the Supplement), indicating that secondary production is their predominant source. Removing the constraint of observed OVOCs in the standard model did not lead to notable changes in the simulated RO<sub>x</sub> concentrations or O<sub>3</sub> production/loss rates. Exceptionally high levels of HONO were observed at daytime (~1ppbv in the afternoon) during the study period. The gas-phase source from the NO+OH reaction alone could only explain a minor portion (~10 %) of the observed HONO concentrations. In order to investigate the photochemical impact of this missing source of HONO, we introduce a pseudo-reaction of NO<sub>2</sub> → HONO in the model (at a rate of  $6.4 \times 10^{-5} \text{ s}^{-1}$  on average during daytime, in the first model layer near the surface) to reproduce the observed daytime HONO and quantitatively estimate the primary radical source from the heterogeneous HONO production pathway. Replacing the observed HONO with the pseudo HONO production does not lead to notable changes in RO<sub>x</sub> concentrations.

Due to the large aerosol surface areas (~1000 μm<sup>2</sup> cm<sup>-3</sup>), the uptake of HO<sub>2</sub> by aerosols may become a large HO<sub>2</sub> sink. The HO<sub>2</sub> aerosol reactive uptake coefficient,  $\gamma$ , is still quite uncertain and may be a function of temperature and aerosol composition (Thornton and Abbatt, 2005; Thornton et al., 2008; Kanaya et al., 2009; Mao et al., 2010). In this work, we chose a moderate value of  $\gamma(\text{HO}_2) = 0.02$  in the standard model (S0 in Table 1) (Thornton et al., 2008), and we evaluate model sensitivities by varying the value of  $\gamma(\text{HO}_2)$  from 0 to 0.2, a range covering the general range of  $\gamma(\text{HO}_2)$  (e.g., Thornton et al., 2008).

Besides the standard model S0, we designed and conducted a number of sensitivity simulations summarized in Table 1. S0 is the standard model, while S0a and S0b are S0 with varied  $\gamma(\text{HO}_2)$  values, 0 in S0a and 0.2 in S0b, respectively. S1 is S0 without the “excess” HONO that cannot be explained by gas-phase HONO production; S2 is S0 without

**Table 1.** Sensitivity simulation scenarios.

Scenarios or purposes	Description
S0	Standard model setup: with full VOC chemistry, excess HONO, $\gamma(\text{HO}_2) = 0.02$
S0a	S0 with $\gamma(\text{HO}_2) = 0$
S0b	S0 with $\gamma(\text{HO}_2) = 0.2$
S1	S0 without excess HONO
S2	S0 without aromatics
S3	S0 without excess HONO or aromatics
S3a	S3 with $\gamma(\text{HO}_2) = 0$
$\text{P}(\text{O}_3)_{\text{senst}}$	S0, S0b, S1, S2, S3, S3a constrained with 50 %, 70 %, 90 %, 110 % of observed values of NO, VOCs respectively, and both of them
$\gamma(\text{HO}_2)_{\text{senst}}$	S0 with $\gamma(\text{HO}_2) = 0, 0.02, 0.05, 0.1, 0.15, 0.2$

aromatics; S3 is S0 without excess HONO or aromatics, and S3a further removes the aerosol  $\text{HO}_2$  uptake ( $\gamma(\text{HO}_2) = 0$ ) in S3. These experiments (S1–S3,  $\gamma(\text{HO}_2)_{\text{senst}}$ ) further examine the sensitivities of radical budgets and  $\text{O}_3$  production to those key factors identified in S0, including aromatic VOCs, daytime HONO concentrations, and aerosol uptake of  $\text{HO}_2$ , which have considerable uncertainties due to the current incomplete knowledge in the related processes (Sect. 3.3). The  $\text{P}(\text{O}_3)_{\text{senst}}$  simulations compare  $\text{O}_3$  production rates under varied  $\text{NO}_x$  and VOCs conditions. In these sensitivity simulations, we did not constrain HONO or OVOCs to the observations in order to retain the feedback from them.

### 2.3 Model diagnostics

The 1-D modeling is constrained by surface observations. Vertical profiles of  $\text{O}_3$  and its precursors are also simulated by turbulence transport from the surface. We can diagnose the radical and  $\text{O}_3$  budgets near the surface and in the PBL. We focus the model diagnostics on the budgets near the surface for two reasons. Ozone and its precursors are simulated in the PBL and are specified to the observations near the surface. The near-the-surface model diagnostics are therefore less uncertain than in the PBL. Heterogeneous processes of excess HONO production and aerosol uptake of  $\text{HO}_2$  are only simulated near the surface since we only have the observed HONO and aerosol size distribution near the surface. The radical budgets cannot be properly analyzed in the PBL given the importance of these heterogeneous processes. We will, however, present the  $\text{O}_3$  budget analysis in the PBL. The concentrations of  $\text{O}_3$  near the surface are affected by the production rates in the PBL because of the relatively long chemical lifetime of  $\text{O}_3$ . The integration of  $\text{O}_3$  budget terms is described in the supplement. In the following sections, all the PBL analysis results are specifically noted. If not noted, the analysis is for the surface layer.

## 3 Results and discussions

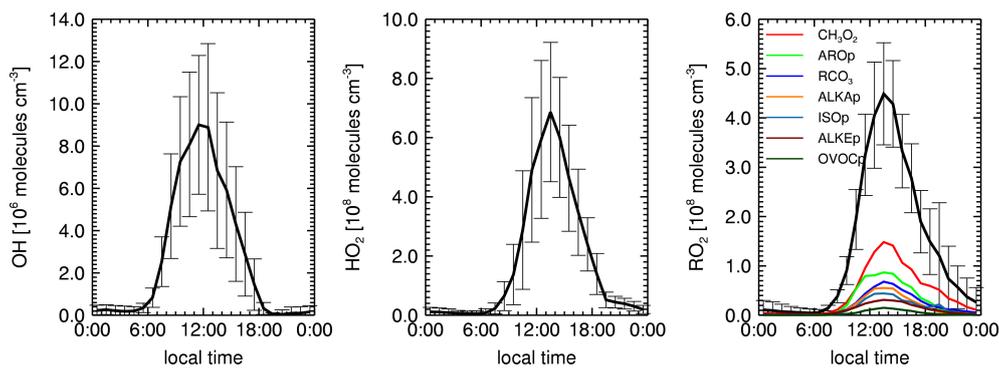
$\text{O}_3$  formation and the chemical dependence on the emissions of  $\text{O}_3$  precursors involve production and recycling of a variety of  $\text{RO}_x$  radicals. In this section, we first present the abundance and budgets of  $\text{RO}_x$  radicals calculated with the observation-constrained 1-D model, to identify the main features and uncertainties of photochemistry in Beijing. By focusing on the chemical pathways controlling  $\text{O}_3$  production and loss, and their responses to varied precursors, we then quantify the rates of  $\text{O}_3$  formation and diagnose its chemical regime.

### 3.1 Budgets of $\text{RO}_x$ radicals

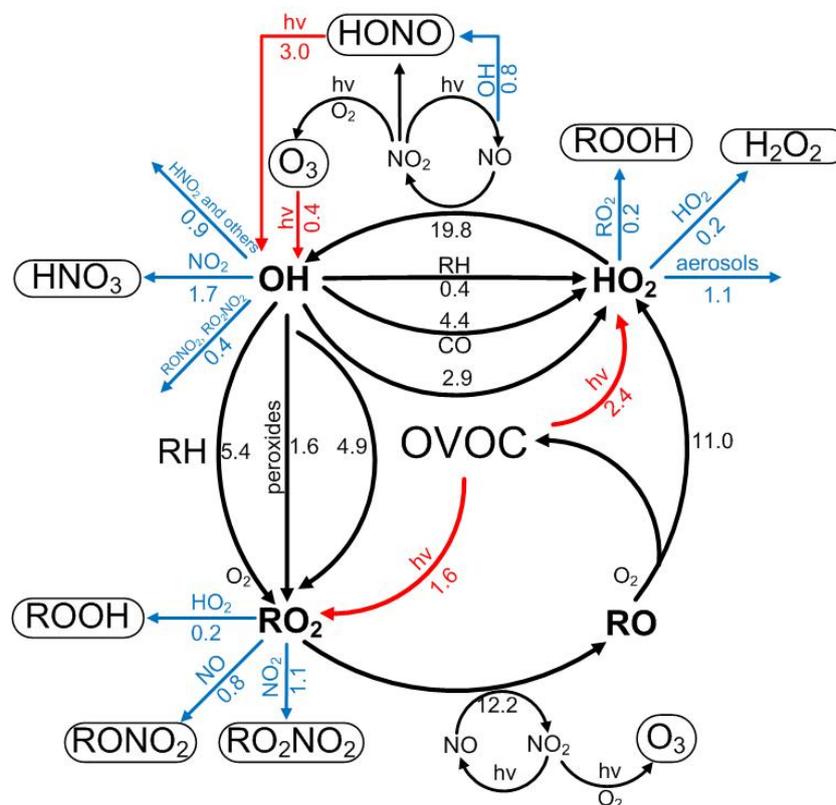
#### 3.1.1 Model simulated concentrations of OH, $\text{HO}_2$ and $\text{RO}_2$

Figure 1 shows the average diurnal profiles of OH,  $\text{HO}_2$  and  $\text{RO}_2$  concentrations simulated in the standard model (S0). The 20-day average diurnal maximum concentrations of OH,  $\text{HO}_2$ , and  $\text{RO}_2$  are  $9 \times 10^6$ ,  $6.8 \times 10^8$ , and  $4.5 \times 10^8$  molecules  $\text{cm}^{-3}$ , respectively.  $\text{RO}_x$  radical measurements over mainland China are still sparse. To put our simulated concentrations in context, the simulated maximum OH concentration ( $9 \times 10^6$  molecules  $\text{cm}^{-3}$ ) in this study for Beijing is  $\sim 50\%$  higher than that simulated over Mountain Tai in June of 2006 ( $6 \times 10^6$  molecules  $\text{cm}^{-3}$ ) (Kanaya et al., 2009), and lies between the observed ( $13 \times 10^6$  molecules  $\text{cm}^{-3}$ ) and simulated ( $7 \times 10^6$  molecules  $\text{cm}^{-3}$ ) values at a site in PRD (Hofzumahaus et al., 2009). The maximum  $\text{HO}_2$  concentration simulated for Beijing ( $6.8 \times 10^8$  molecules  $\text{cm}^{-3}$ ) is close to that over Mountain Tai (Kanaya et al., 2009), and is only half of that in PRD (Hofzumahaus et al., 2009). We note that  $\text{HO}_2$  concentrations simulated over China (Kanaya et al., 2009 and this work) are quite sensitive to  $\gamma(\text{HO}_2)$ , due to the large abundance of aerosols. Interestingly, removing the aerosol  $\text{HO}_2$  sink in this study would lead to higher simulated  $\text{HO}_2$  and OH concentrations that are in good agreement with the observed values at the PRD site by Hofzumahaus et al. (2009), although the locations and time of the two studies are different. Compared to urban areas outside China, the simulated OH and  $\text{HO}_2$  concentrations for Beijing are similar to those observed in Mexico City (Shirley et al., 2006; Dusanter et al., 2009), and yet higher than those in New York City (Ren et al., 2003) and Birmingham of the UK (Emmerson et al., 2005a).

$\text{RO}_2$  radicals include all organic peroxy radicals derived from VOC oxidation, and are categorized into 7 groups (Fig. 1), i.e. methyl peroxy radicals ( $\text{CH}_3\text{O}_2$ ), first generation peroxy radicals from alkanes ( $\text{ALKA}_p$ ), alkenes except isoprene ( $\text{ALKE}_p$ ), isoprene ( $\text{ISO}_p$ ), aromatics ( $\text{ARO}_p$ ), acyl peroxy radicals ( $\text{RCO}_3$ ), and peroxy radicals from OVOCs ( $\text{OVOC}_p$ ). The three most abundant groups of  $\text{RO}_2$



**Fig. 1.** Average diurnal profiles of OH, HO<sub>2</sub> and RO<sub>2</sub> (black lines) in the standard model (S0). The vertical bars show the hourly standard deviations. The color lines in the rightmost panel show the major components of RO<sub>2</sub>, which are described in the text.

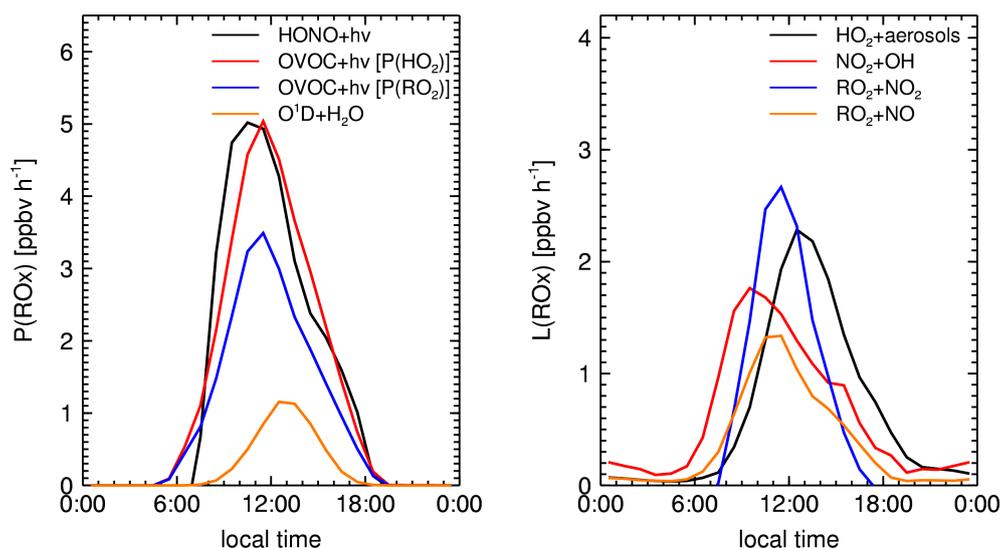


**Fig. 2.** Daytime (06:00–18:00) average budgets of RO<sub>x</sub> radicals. Primary RO<sub>x</sub> sources and sinks are in red and blue, respectively. The production and loss rates are in ppbv h<sup>-1</sup>.

are CH<sub>3</sub>O<sub>2</sub>, AROp and RCO<sub>3</sub>. CH<sub>3</sub>O<sub>2</sub> makes the largest contribution as expected. Aromatics have higher concentrations and OH reactivities than alkenes and alkanes (Liu et al., 2010), producing more RO<sub>2</sub> radicals upon oxidation. RC(O)O<sub>2</sub> radicals are produced from OH oxidation or photolysis of a variety of carbonyl compounds. CH<sub>3</sub>C(O)O<sub>2</sub> is the simplest and most abundant RC(O)O<sub>2</sub>, and also the precursor of PAN. Liu et al. (2010) showed that methylglyoxal from aromatics is the predominant source (~75 %) of CH<sub>3</sub>C(O)O<sub>2</sub> and PAN in Beijing.

### 3.1.2 RO<sub>x</sub> budgets

Figure 2 illustrates schematically the RO<sub>x</sub> daytime (06:00–18:00) budgets simulated in the model. Minor RO<sub>x</sub> radical sources (<0.1 ppbv h<sup>-1</sup>), e.g. ozonolysis of alkenes, are not shown. The reactions of NO + HO<sub>2</sub> (19.8 ppbv h<sup>-1</sup>) and NO + RO<sub>2</sub> (12.2 ppbv h<sup>-1</sup>) are the two largest pathways of radical cycling in the system, mainly due to the abundance of NO (e.g. ~5 ppbv around noontime). As a result, the fast RO<sub>x</sub> cycling is mainly driven by these two NO + RO<sub>x</sub> reactions, as



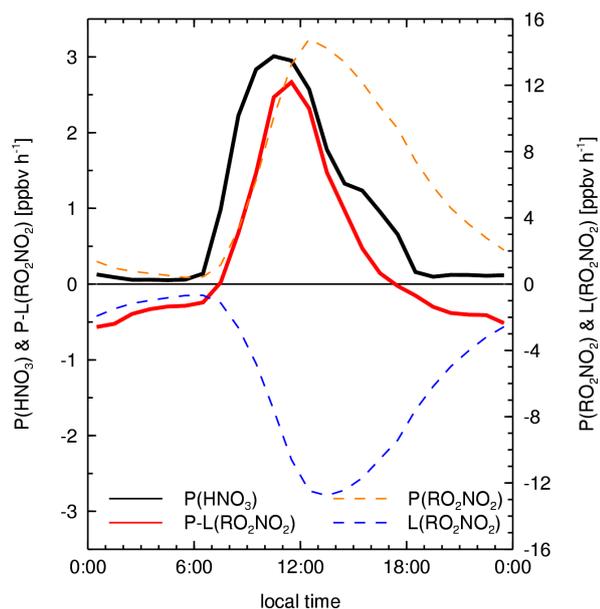
**Fig. 3.** Average diurnal profiles of major  $\text{RO}_x$  primary sources and sinks.

typically seen in  $\text{NO}_x$ -rich environments (Emmerson et al., 2005b; Shirley et al., 2006; Dusanter et al., 2009; Elshorbany et al., 2009).

Besides the efficient radical cycling, the primary sources and sinks of radicals are also very large in Beijing. Photolysis of OVOCs turns out to be the predominant primary  $\text{RO}_x$  source ( $4.0 \text{ ppbv h}^{-1}$ ), and also the largest sources of  $\text{HO}_2$  ( $2.4 \text{ ppbv h}^{-1}$ ) and  $\text{RO}_2$  ( $1.6 \text{ ppbv h}^{-1}$ ), consistent with previous urban studies (Jenkin et al., 2000; Emmerson et al., 2005b; Dusanter et al., 2009). Photolysis of excess HONO is the second largest  $\text{RO}_x$  source ( $3.0-0.8=2.2 \text{ ppbv h}^{-1}$ ), as well as the largest source of OH. At noontime the excess HONO produces OH at  $\sim 5 \text{ ppbv h}^{-1}$  (Fig. 3), a rate that is comparable to that found by Su et al. (2008) at Xinken in PRD, and slightly higher than that at another site, Backgarden, in the same region (Hofzumahaus et al. 2009). By contrast, the reaction of  $\text{O}^1\text{D} + \text{H}_2\text{O}$  only contributes  $0.4 \text{ ppbv h}^{-1}$  of the primary OH production, less than 1/5 of the excess HONO source, which is consistent with the finding in PRD by Hofzumahaus et al. (2009). It should be noted that these aforementioned excess daytime HONO sources inferred over China are significantly larger than most urban areas outside China (e.g. Acker et al., 2006; Kleffmann, 2007 and references therein; Dusanter et al., 2009; Elshorbany et al., 2009; Costabile et al., 2010). Summing up all these aforementioned sources gives a total primary  $\text{RO}_x$  production rate at  $6.6 \text{ ppbv h}^{-1}$  ( $2.6 \text{ ppbv h}^{-1}$  for OH,  $2.4 \text{ ppbv h}^{-1}$  for  $\text{HO}_2$ , and  $1.6 \text{ ppbv h}^{-1}$  for  $\text{RO}_2$ ), which is comparable to that in Santiago, Chile ( $7.0 \text{ ppbv h}^{-1}$ ) (Elshorbany et al., 2009), but  $\sim 50\%$  higher than those in Mexico City in 2006 ( $4.75 \text{ ppbv h}^{-1}$ ) (Dusanter et al., 2009), and Birmingham of the UK ( $4.5 \text{ ppbv h}^{-1}$ ) (Emmerson et al., 2005b).

$\text{RO}_x$  radicals are ultimately removed from the atmosphere via deposition of radical reservoir species, e.g.  $\text{HNO}_3$ ,  $\text{H}_2\text{O}_2$ ,

$\text{ROOH}$ . The net radical losses via  $\text{NO}_x$ -radical reactions are  $3.6 \text{ ppbv h}^{-1}$ , including  $\text{NO}_2 + \text{OH}$  producing  $\text{HNO}_3$  ( $1.7 \text{ ppbv h}^{-1}$ ),  $\text{RO}_2 + \text{NO}_2$  producing organic peroxy nitrates ( $\text{RO}_2\text{NO}_2$ , mostly PANs) ( $1.1 \text{ ppbv h}^{-1}$ ), and  $\text{RO}_2 + \text{NO}$  producing organic nitrates ( $\text{RONO}_2$ ) ( $0.8 \text{ ppbv h}^{-1}$ ). By contrast, the radical loss rates via radical-radical reactions producing peroxides such as  $\text{H}_2\text{O}_2$  and  $\text{ROOH}$  are much lower ( $0.6 \text{ ppbv h}^{-1}$  in total). Such a contrast has been typically seen as a feature of chemistry in  $\text{NO}_x$ -rich urban environments. Another important and still uncertain  $\text{RO}_x$  sink in Figs. 2 and 3 is the aerosol uptake of  $\text{HO}_2$  ( $1.1 \text{ ppbv h}^{-1}$ ), mainly owing to the abundant aerosols in Beijing. The magnitude of this radical sink varies significantly with values of  $\gamma$  ( $\text{HO}_2$ ) used in the model (Sect. 3.3.3). It is also noteworthy that the two  $\text{RO}_2 + \text{NO}_x$  reaction pathways collectively contribute a net  $\text{RO}_x$  loss at  $1.9 \text{ ppbv h}^{-1}$ , larger than that of  $\text{NO}_2+\text{OH}$  ( $1.6 \text{ ppbv h}^{-1}$ ), which is different from most urban environments outside China (Emmerson et al., 2005b; Dusanter et al., 2009; Elshorbany et al., 2009). Figure 4 shows the diurnal transition of  $\text{RO}_2\text{NO}_2$  production and loss. During most of the daytime,  $\text{RO}_2\text{NO}_2$  production dominates over its loss processes (mainly via thermal decomposition) leading to the net formation of  $\text{RO}_2\text{NO}_2$  and thus sequestering of  $\text{NO}_2$  and  $\text{R}(\text{O})\text{O}_2$  radicals.  $\text{RO}_2\text{NO}_2$  loss starts to dominate over production from late afternoon into evening. Such a diurnal transition of  $\text{RO}_2\text{NO}_2$  production and loss differs from the often used steady-state assumption of  $\text{RO}_2\text{NO}_2$ , and has considerable impacts on  $\text{O}_3$  production (Sect. 3.2).  $\text{RONO}_2$  is formed from minor channels in  $\text{NO} + \text{RO}_2$  reactions, and the importance of these channels is known to be a function of the size of  $\text{RO}_2$ .  $\text{RONO}_2$  has longer lifetimes (at least 2 days) than  $\text{RO}_2\text{NO}_2$ , and its loss by transport and deposition is a net loss of  $\text{RO}_x$  radicals.



**Fig. 4.** Average diurnal profiles of net formation rates of  $\text{RO}_2\text{NO}_2$  and  $\text{HNO}_3$ . Production and loss rates of  $\text{RO}_2\text{NO}_2$  are also shown.

Another feature of the chemical system in Fig. 2 is the coupling of  $\text{NO}_x$  and VOCs chemistry and the comparable importance of their roles as  $\text{RO}_x$  sources and sinks. Both  $\text{NO}_x$  and VOCs affect major  $\text{RO}_x$  primary sources, i.e. the source OH from excess HONO ( $2.2 \text{ ppbv h}^{-1}$ ) and photolysis of OVOCs ( $4.2 \text{ ppbv h}^{-1}$ ). Both of them affect  $\text{RO}_x$  sinks through organic nitrates. This feature of chemistry could have implications for  $\text{O}_3$  sensitivities to  $\text{NO}_x$  and VOCs (Farmer et al., 2011). In Section 3.2 – 3.3, we examine the formation of  $\text{O}_3$ , and its sensitivities to various factors, including excess HONO, aromatics,  $\gamma$  ( $\text{HO}_2$ ), as well as  $\text{NO}_x$  and VOCs.

## 3.2 $\text{O}_3$ photochemistry

### 3.2.1 $\text{O}_3$ production and loss rates

The formation of  $\text{O}_3$  in the troposphere is via the reactions of NO and peroxy radicals. On the other hand, due to the fast cycling of both  $\text{O}_3$  and  $\text{NO}_2$  under urban conditions,  $\text{O}_3$  loss is due to a number of reactions leading to the destruction of  $\text{O}_3$  and  $\text{NO}_2$ . The daytime average  $\text{P}(\text{O}_3)$  is the sum of  $\text{HO}_2 + \text{NO}$  ( $19.8 \text{ ppbv h}^{-1}$ ) and  $\text{RO}_2 + \text{NO}$  ( $12.2 \text{ ppbv h}^{-1}$ ) at  $32 \text{ ppbv h}^{-1}$  (Fig. 2), comparable to previous calculations for Beijing during CAREBeijing-2006 (Lu et al., 2012), and is near the top of the existing reported values for urban environments (e.g. Ren et al., 2003; Shirley et al., 2006; Kanaya et al., 2008; Wood et al., 2009). The reaction of  $\text{HO}_2 + \text{NO}$  accounts for roughly 2/3 of  $\text{P}(\text{O}_3)$ .  $\text{RCO}_3 + \text{NO}$ ,  $\text{CH}_3\text{O}_2 + \text{NO}$  and  $\text{AROp} + \text{NO}$  are the predominant  $\text{RO}_2 + \text{NO}$  reactions, due to the relative abundance of  $\text{RO}_2$  radicals (Fig. 1) and the large reaction rate constant of  $\text{RCO}_3 + \text{NO}$ . The mean

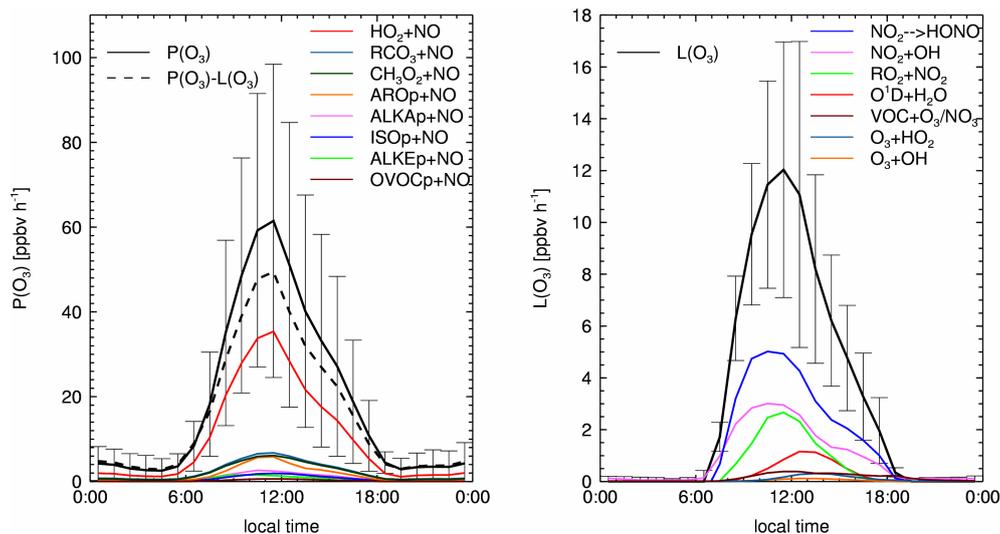
daytime peak of  $\text{P}(\text{O}_3)$  is  $\sim 60 \text{ ppbv h}^{-1}$ , occurring around 11:00 (Fig. 5), earlier than the peaks of both  $\text{HO}_2$  and  $\text{RO}_2$  around 13:00 because of the decreased NO concentrations from morning to early afternoon.  $\text{P}(\text{O}_3)$  is also found to peak around 10:00–11:00 local time in Mexico City (Shirley et al., 2006). The corresponding PBL averaged  $\text{O}_3$  production and net formation rates are shown in Fig. S1 of the Supplement. The rates are about a factor of 4 lower reflecting the simulated decrease of these rates with altitude (Fig. S2 in the Supplement).

The daytime mean and maximum  $\text{L}(\text{O}_3)$  rates are  $6.2 \text{ ppbv h}^{-1}$  and  $12 \text{ ppbv h}^{-1}$ , respectively, roughly 1/5 of  $\text{P}(\text{O}_3)$ .  $\text{L}(\text{O}_3)$  consists of  $\text{NO}_2 \rightarrow \text{HONO}$  ( $2.2 \text{ ppbv h}^{-1}$ ),  $\text{NO}_2 + \text{OH}$  ( $1.7 \text{ ppbv h}^{-1}$ ),  $\text{RO}_2 + \text{NO}_2$  ( $1.1 \text{ ppbv h}^{-1}$ ),  $\text{O}^1\text{D} + \text{H}_2\text{O}$  ( $0.4 \text{ ppbv h}^{-1}$ ), and other minor reactions. Given the noontime  $\text{O}_3$  and  $\text{NO}_2$  concentrations ( $\sim 55 \text{ ppbv}$  and  $\sim 10 \text{ ppbv}$ ) and the loss rate of  $\text{O}_3$ , the chemical lifetime of  $\text{O}_3$  is  $\sim 5 \text{ h}$ . It is interesting that the unknown source of HONO (assuming  $\text{NO}_2$  to be the precursor) also serves as a  $\text{L}(\text{O}_3)$  term, and is in fact the largest  $\text{L}(\text{O}_3)$  reaction ( $\sim 40\%$ ), directly affecting  $\text{O}_3$  formation and the lifetime of  $\text{O}_3$ . Such a potential  $\text{O}_3$  loss process associated with HONO is worth further investigation. The average daytime net formation rate of  $\text{O}_3$ , i.e.  $\text{P}(\text{O}_3) - \text{L}(\text{O}_3)$ , is  $\sim 26 \text{ ppbv h}^{-1}$ .

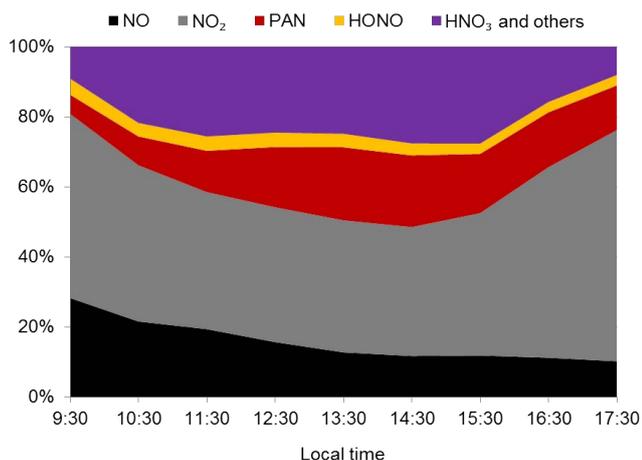
Rapid surface  $\text{O}_3$  formation at a similar rate in Beijing and its vicinity were also reported previously (Lou et al., 2010). However, it should be noted that the  $\text{O}_3$  formation rate near the surface is one of many factors affecting surface  $\text{O}_3$  concentrations (e.g., Shirley et al., 2006). Inspection of the model results shows a decrease of  $\text{O}_3$  production rates with altitude (Fig. S2 in the Supplement) due largely to a corresponding decrease in NO concentrations. When averaged over the whole PBL, the daytime average gross and net  $\text{O}_3$  production rates of  $6.7 \text{ ppbv h}^{-1}$  and  $5.0 \text{ ppbv h}^{-1}$ , respectively, are much slower than those near the surface (Figs. S1 and S3 in the Supplement). Our results point to the importance of obtaining the vertical profiles of  $\text{O}_3$  precursors in the PBL in order to better constrain model simulations and improve the understanding of factors controlling  $\text{O}_3$  concentrations near the surface. While the vertical turbulent transport and surface dry deposition are taken into account in the 1-D model, horizontal advection also plays an important role. Although the 1-D model cannot be used in predicting surface  $\text{O}_3$  concentrations, the increase of  $\text{O}_3$  concentrations in daytime due to the net production in the PBL is reflected in the surface  $\text{O}_3$  observations (Liu et al., 2010).

### 3.2.2 $\text{O}_3$ production efficiency

Alongside  $\text{O}_3$  formation,  $\text{NO}_x$  is transformed into oxidized nitrogen compounds  $\text{NO}_z$  ( $\text{NO}_z \equiv \text{NO}_y - \text{NO}_x$ ), e.g.  $\text{RONO}_2$ ,  $\text{RO}_2\text{NO}_2$ , and  $\text{HNO}_3$ , and then eventually removed from the atmosphere by deposition.  $\text{NO}_z$  compounds at daytime account for 20–50% of total  $\text{NO}_y$  (Fig. 6). The  $\text{O}_3$  production efficiency (OPE) of  $\text{NO}_x$  is defined as the amount of  $\text{O}_3$



**Fig. 5.** Average diurnal profiles and breakdowns of  $O_3$  production (left) and loss rates ( $\text{ppbv h}^{-1}$ ) (right). The vertical bars show the standard deviation.



**Fig. 6.** Daytime evolution of  $\text{NO}_y$  components.

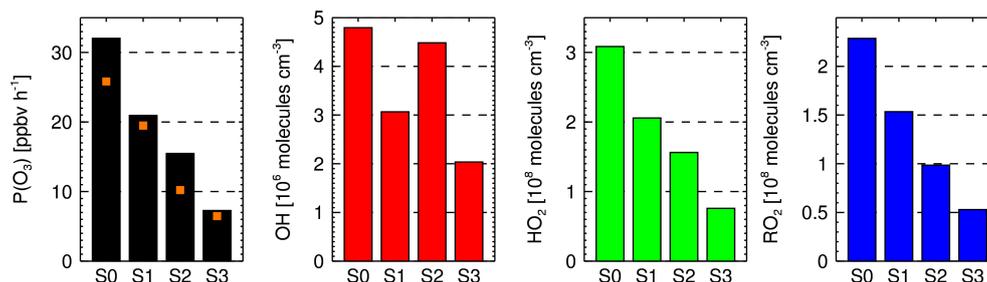
produced during the lifetime of  $\text{NO}_x$  (Liu et al., 1987). Based on our model calculated  $P(O_3)$  and  $P(\text{NO}_z)$  (Fig. 2), we estimate a daytime average OPE to be 9.7, much larger than that estimated by Wang et al. (2010) for the summer of 2008, and yet within the estimates by Chou et al. (2011) for the summer of 2006. It is also within the estimated range for Mexico City (4–12) (Lei et al., 2007; Wood et al., 2009). Considering the moderate concentrations of  $\text{HO}_2$  and  $\text{RO}_2$  compared to other urban environments, the relatively high OPE from our calculation is mainly due to the high daytime NO concentration ( $\sim 5$  ppbv at noontime). When averaged over the whole PBL, the OPE value of 11.7 is higher than estimated near the surface.

### 3.3 Sensitivity studies – assessing the impacts of HONO, aromatics and aerosol uptake of $\text{HO}_2$

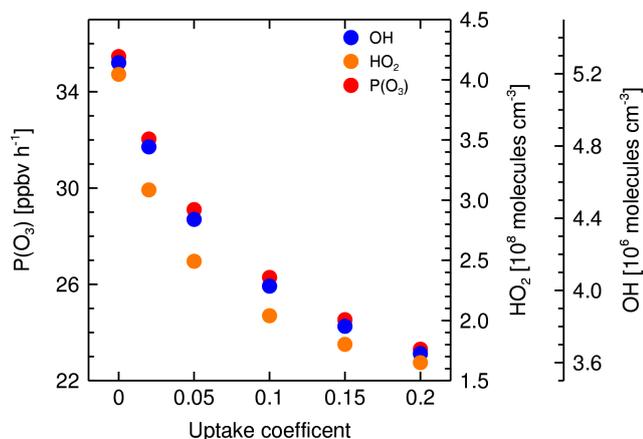
Based on the results from the standard model (S0) results discussed above, we found that excess HONO, reactive aromatic VOCs, and aerosol uptake of  $\text{HO}_2$  are important factors in the photochemical system in Beijing. In the next section, in order to further address the uncertainties in these factors, we extend our analyses of these individual factors by comparing results from a series of sensitivity simulations listed in Table 1.

#### 3.3.1 Impacts of excess HONO on $\text{RO}_x$ budgets and $\text{O}_3$ formation

The large net OH source from the photolysis of excess HONO relative to other primary OH sources has been shown in Fig. 2. Figure 7 shows the sensitivity simulation results without excess HONO (S1). The standard model (S0) has  $\sim 60\%$  higher daytime average OH concentration than S1 due to the excess HONO. Increased OH leads to more active photochemistry and thus  $\sim 50\%$  increases of  $\text{HO}_2$  and  $\text{RO}_2$  concentrations, as well as  $P(O_3)$ . A similar amplifying effect by HONO on  $P(O_3)$  was also noted by Lou et al. (2010). A second consequence is the large sink ( $\sim 40\%$ ) of  $\text{O}_3$  due to excess HONO production (Section 3.2). The impact of excessive HONO is even larger ( $\sim 130\%$ ) when aromatics are not included (comparing Figs. S3 to S2 in Fig. 7). This excess HONO term is usually not considered in the budget of  $\text{O}_3$  in previous studies. For locations like Beijing, it appears necessary to take into account the production and loss of  $\text{O}_3$  due to excess HONO. More importantly, the nature of excess HONO is currently unknown and needs to be considered as a major source of uncertainty for understanding  $\text{O}_3$ .



**Fig. 7.** Daytime average  $O_3$  production rates and concentrations of OH,  $HO_2$ , and  $RO_2$  under scenarios S0, S1, S2, and S3. The yellow squares show the net  $O_3$  formation rates ( $P(O_3) - L(O_3)$ ).



**Fig. 8.** Daytime average  $HO_2$ , OH and  $P(O_3)$  as a function of  $\gamma$  ( $HO_2$ ).

We note that the daytime HONO concentrations measured in this and other studies over China, i.e. roughly 1 ppbv on average during daytime, and the inferred excess HONO formation rates (e.g. Su et al., 2008, 2011; Hofzumahaus et al., 2009; and this work), are substantially higher than those found elsewhere. Although known interference was tested and removed (the Supplement), unknown interference was possible (e.g. Pinto et al., 2010). A well-designed intercomparison with other instruments (such as a long-path differential optical absorption spectroscopy (DOAS) instrument) in Beijing would be necessary. The detailed photochemical analysis presented here by comparing the relative importance of the primary  $HO_x$  sources (e.g., Fig. 2) would provide a quantitative assessment on the impact of a potential HONO interference on the  $O_3$  and radical photochemistry if the interference were quantified. We also note that the excess HONO source was only included in the surface layer of the model since the nature of the heterogeneous reaction is not well characterized. Extending this source into the PBL would further increase its impact.

### 3.3.2 Direct and secondary impacts from aromatics

Aromatics are the most reactive and abundant VOC group measured in Beijing (Liu et al., 2010). The direct impact of aromatics on radical budgets and  $O_3$  formation is via contributing first generation  $RO_2$  (AROp) upon oxidation by OH; and we refer the effect due to subsequent oxidation products as secondary. Comparing S0 with S2 (Fig. 7), adding aromatics leads to a factor of 2 increase of  $HO_2$ ,  $RO_2$ , and  $P(O_3)$ . These changes obviously could not be explained solely by the addition of AROp radicals (Fig. 5). Inspection of the model results shows that OVOCs concentrations increase drastically after adding aromatics (e.g.  $\sim 100\%$  increase of formaldehyde;  $\sim 65\%$  increase of acetaldehyde, a factor of 5 increase of methylglyoxal and a factor of 10 increase of glyoxal (Table S3 in the supplement)), and their photolysis further produces substantial amounts of primary  $RO_2$  and  $HO_2$ . More significantly, the presence of aromatics in S0 increases OH by  $\sim 30\%$  compared to S2 despite of the loss of OH by reacting with aromatics. Therefore, the overall increase of primary  $RO_x$  production from the secondary impact by aromatics is large enough to compensate for the shift from OH to peroxy radicals in the  $RO_x$  family. If the reactions of excess HONO are not included, the impacts by aromatics (from Figs. S3 to S1) are even more drastic, leading to more than 100% increase of  $HO_2$ ,  $RO_2$  and  $P(O_3)$ , and 50% increase of OH. We note that the finding on the significance of aromatic VOCs on photochemistry is qualitatively robust. However, the quantitative results presented here depend on the chemical mechanism used for aromatic VOC oxidation, for example, the yields of dicarbonyls, which are uncertain (e.g. Carter, 2009). In situ measurements of OVOC species, especially those dicarbonyls, such as methylglyoxal and glyoxal, will be needed to further constrain the model. When integrated in the PBL, the effect of aromatics is smaller ( $\sim 25\%$  by comparing case S0 to S2 in Fig. S3 of the Supplement).

### 3.3.3 Aerosol uptake of $HO_2$

Figure 8 shows the variations of daytime average  $HO_2$ , OH concentrations and  $P(O_3)$  rates as a function of  $\gamma$  ( $HO_2$ ) value.  $HO_2$  concentration drops by

60 % from  $4.05 \times 10^8$  molecules  $\text{cm}^{-3}$  at  $\gamma(\text{HO}_2)=0$  to  $1.65 \times 10^8$  molecules  $\text{cm}^{-3}$  at  $\gamma(\text{HO}_2)=0.2$ . Correspondingly,  $\text{P}(\text{O}_3)$  decreases by  $\sim 50\%$  from  $35.4$  ppbv  $\text{h}^{-1}$  to  $23.3$  ppbv  $\text{h}^{-1}$ , and OH drops by 30 % from  $5.26 \times 10^6$  molecules  $\text{cm}^{-3}$  to  $3.65 \times 10^6$  molecules  $\text{cm}^{-3}$ .  $\text{P}(\text{O}_3)$  and OH changes are not as large as  $\text{HO}_2$  in part because the impact of  $\gamma(\text{HO}_2)$  on  $\text{RO}_2$  radicals is indirect and not as large. Figure 8 suggests that  $\gamma(\text{HO}_2)$  is a large source of uncertainty in current  $\text{HO}_x$  simulation studies over polluted regions of China, where aerosol loading is high (Kanaya et al., 2009). Recently, Taketani et al. (2012) measured ambient  $\gamma(\text{HO}_2)$  in the range of  $0.09 \sim 0.4$  over Mt. Mang (40 km north of Beijing), and in the range of  $0.13 \sim 0.34$  at a remote site over Mt. Tai in China. These  $\gamma(\text{HO}_2)$  values for ambient aerosols are considerably larger than those estimated by Thornton et al. (2008) or the experimental values for non-metal aerosols compiled by Mao et al. (2012). Additional independent measurements are needed to confirm these high  $\gamma(\text{HO}_2)$  values over China. Increasing the  $\gamma(\text{HO}_2)$  value from 0.02 as we assumed to 0.2 would make aerosol uptake of  $\text{HO}_2$  the predominant primary  $\text{RO}_x$  sink (Fig. 2) and decrease  $\text{HO}_2$ , OH, and  $\text{O}_3$  production by 48 %, 24 %, and 28 % (Fig. 8), respectively.

### 3.4 Chemical regimes of $\text{O}_3$ production

We diagnose the  $\text{P}(\text{O}_3)$  chemical regimes in Beijing using two approaches. First, we examine the sensitivity of  $\text{P}(\text{O}_3)$  to perturbations of  $\text{NO}_x$  and VOC concentrations. We also try to use previously proposed diagnostic equations (e.g. Sillman et al., 1990; Kleinman et al., 1997; Daum et al., 2000) for  $\text{NO}_x$ -limited and VOC-limited regimes, as has been done in previous studies (Lei et al., 2007). The chemical environment in Beijing is strongly affected by various factors, e.g. excess HONO, aromatics, and aerosol uptake of  $\text{HO}_2$ . These factors are not present in the US where those previous theoretical studies (e.g. Sillman et al., 1990; Kleinman et al., 1997) were conducted. We analyze each scenario listed in Table 1 and then discuss the possible impacts from those factors on  $\text{P}(\text{O}_3)$  chemical regimes in Beijing.

#### 3.4.1 Sensitivity simulation results

In the sensitivity analyses (Table 1), we vary  $\text{NO}_x$  and VOCs concentrations (110 %, 90 %, 70 %, and 50 % of the observed values) and examine the change of  $\text{P}(\text{O}_3)$ , i.e.  $\Delta\text{P}(\text{O}_3)$ . While it is desirable to study the direct change of  $\text{O}_3$  due to precursor changes, a box or 1-D model cannot adequately simulate  $\text{O}_3$  concentrations due to the large impact of advection as noted previously. We therefore diagnose the change of  $\text{P}(\text{O}_3)$ . The sensitivity results are numerically accurate only when the change of the model state is small. We included large changes (50 % and 70 % reductions) in order to infer the impact of precursor changes on  $\text{O}_3$  photochemical production

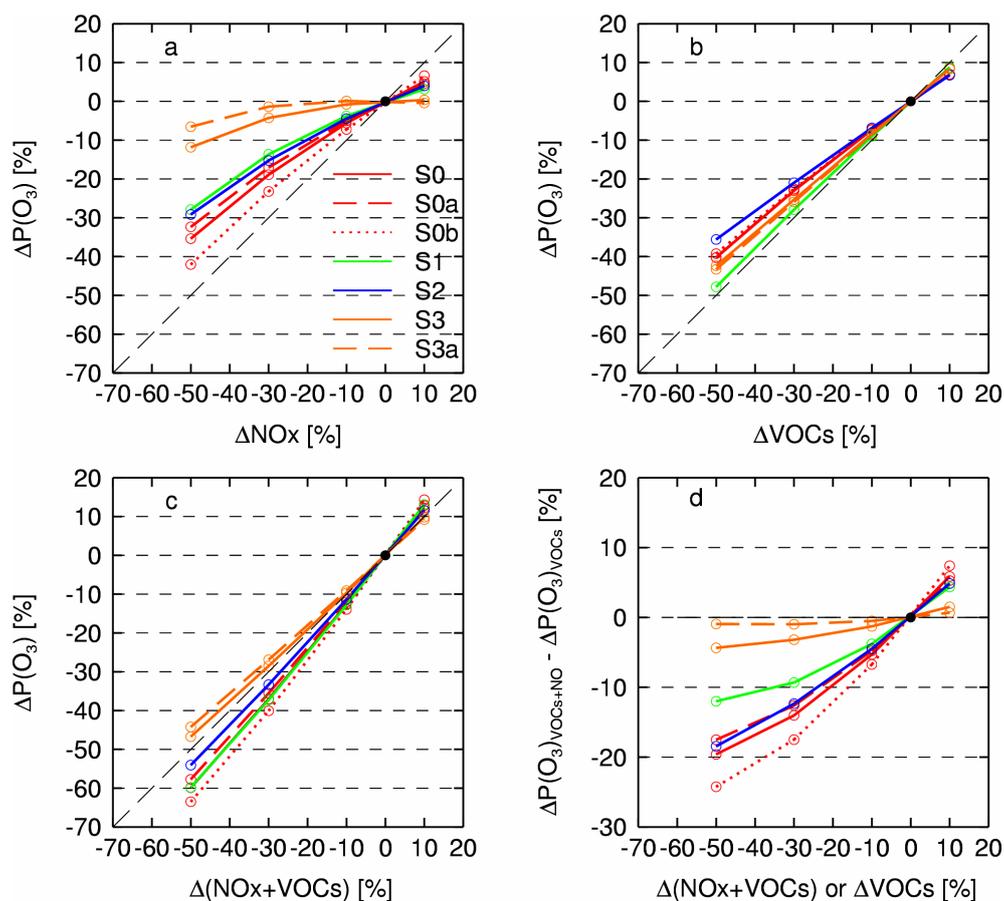
regime. These results represent a linear extrapolation of the sensitivities in the photochemical system.

Figure 9 shows the sensitivity experiment results.  $\text{P}(\text{O}_3)$  consistently shows positive responses to  $\Delta\text{NO}_x$ , i.e. an increase of  $\text{NO}_x$  leads to an increase of  $\text{P}(\text{O}_3)$ , although the former is always larger than the latter, i.e., the  $\Delta\text{P}(\text{O}_3) - \Delta\text{NO}_x$  lines are to the left of the 1:1 line.  $\text{P}(\text{O}_3)$  is largely determined by the product of NO and  $\text{HO}_2$  ( $\text{RO}_2$ ) concentrations (Fig. 9a). The non-linear dependence of  $\text{P}(\text{O}_3)$  on  $\text{NO}_x$  is a reflection of the dependence of  $\text{HO}_2$  and  $\text{RO}_2$  on  $\text{NO}_x$ . For example, increasing  $\text{NO}_x$  leads to decreased peroxy radicals due in part to the conversion of peroxy radicals to OH and RO by reacting with NO. The degree of peroxy radical decrease is also a function of the change in primary  $\text{RO}_x$  sources and sinks. Comparing the scenarios without aromatics and HONO (S3 and S3a) with those with both or either one of them (S0, S1 or S2),  $\text{P}(\text{O}_3)$  in the former scenarios (S3 and S3a) is much less sensitive to  $\Delta\text{NO}_x$  (e.g., the flat shapes of the orange lines in Fig. 9a). The larger sensitivity of peroxy radicals to  $\text{NO}_x$  change in S3 and S3a is because of a much smaller primary  $\text{RO}_x$  source without excess HONO, aromatics or both (Sect. 3.3). Similarly, inspection of the difference between S0 and S0a or among S3, S3a and S3b shows that a larger  $\text{HO}_2$  aerosol sink leads to a lesser sensitivity of peroxy radicals to  $\text{NO}_x$  and hence a higher sensitivity of  $\text{P}(\text{O}_3)$  to  $\text{NO}_x$ .

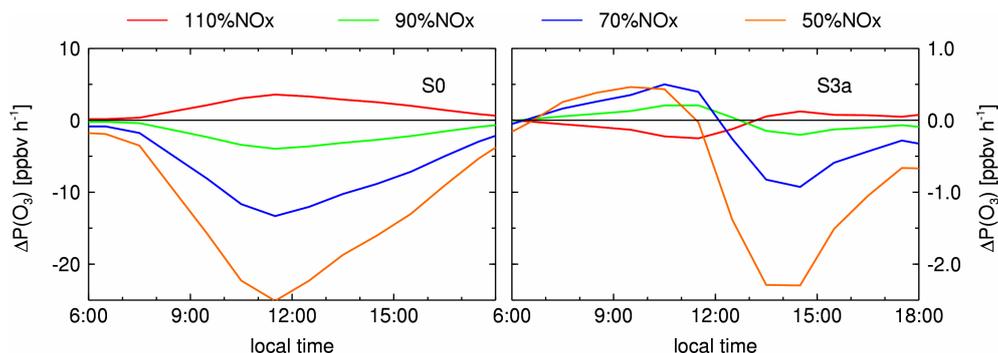
The complexity of the  $\text{P}(\text{O}_3)$ - $\text{NO}_x$  sensitivity also results in part from the change over the course of a day (Fig. 10). Generally,  $\text{P}(\text{O}_3)$  shows a larger sensitivity to  $\text{NO}_x$  in the afternoon than in the morning. Under different scenarios, such as S0 and S3a in Fig. 10,  $\text{P}(\text{O}_3)$ - $\text{NO}_x$  sensitivities show different transition patterns over the course of daytime. This daytime transition of  $\text{P}(\text{O}_3)$ - $\text{NO}_x$  sensitivity is due to the fast decreasing  $\text{NO}_x$  from morning towards afternoon and thus reducing the importance of  $\text{NO}_x$  in sequestering radicals, while the primary  $\text{RO}_x$  source increases into the afternoon.

In contrast to the largely varying degrees of sensitivities of  $\text{P}(\text{O}_3)$  to  $\text{NO}_x$ , the sensitivity of  $\text{P}(\text{O}_3)$  to VOCs is much more uniform and closer to the 1:1 linear response (Fig. 9b). The largest deviation from the 1:1 response line is the simulation without aromatics (S2) due to the large impact of aromatics to primary  $\text{RO}_x$  sources (Sect. 3.3.2). Figure 9a and 9b show that the  $\text{P}(\text{O}_3)$ -VOC response resembles the VOC-limited chemical regime (Sillman et al., 1990), although the  $\text{P}(\text{O}_3)$ - $\text{NO}_x$  response does not, suggesting that photochemical  $\text{O}_3$  production in Beijing is neither  $\text{NO}_x$ -limited nor VOC-limited, but lies in a transition regime where reduction of either can reduce  $\text{P}(\text{O}_3)$ .

Concurrent reduction of  $\text{NO}_x$  and VOC concentrations leads to greater  $\text{P}(\text{O}_3)$  reduction than reducing either (Fig. 9c), although the additional reduction from VOC-reduction only scenarios (Fig. 9d) varies. In agreement with the  $\text{P}(\text{O}_3)$ - $\text{NO}_x$  sensitivity (Fig. 9a), the least change from the VOC-only scenarios is from the simulations S3 and S3a in which neither excess HONO nor aromatics is included.



**Fig. 9.** Changes of  $O_3$  production ( $\Delta P(O_3)$ ) as a function of  $NO_x$ , VOCs, and both under different scenarios in Table 1.



**Fig. 10.** Hourly  $\Delta P(O_3)$  due to  $NO_x$  changes under S0 and S3a.

An implication from Figure 9 is that concurrent reduction of both  $NO_x$  and VOCs only gives limited additional  $P(O_3)$  reduction than reducing one of them based on near-surface modeling analysis. For example,  $\Delta P(O_3)_{NO+VOCs}$  from 50 % reductions of both  $NO_x$  and VOC is only 20 % more than  $\Delta P(O_3)_{VOCs}$  of 50 % reduction in VOC (Fig. 9d for S1b). Under the most likely scenario (with excess HONO and aromatics) based on the in situ observations, reducing either  $NO_x$  or VOCs can be effective.

Since the surface concentrations are constrained by the observations and turbulent mixing in the PBL is based on the WRF simulation, we show selected REAM-1D simulation results with and without aromatics (S0 and S2) in the Supplement to examine if the PBL-integrated  $P(O_3)$  shows similar characteristics as near the surface. Figure S2 in the Supplement shows a rapid decrease of  $O_3$  production rates with altitude, due to the reduced  $NO_x$  and (to a lesser degree) VOCs. Column  $P(O_3)$  integrated from the surface to the top of the

**Table 2.**  $R^2$  values between  $P(O_3)$  and  $\sqrt{Q - L_N - L_R} [NO]$ ,  $\frac{L_{OH-VOC}}{L_{OH-NO_2}} (Q - 2PER - L_R - L_{ON})$ ,  $Q$ , and  $NO$  during the daytime (06:00–18:00) and afternoon (12:00–18:00).

	$\sqrt{Q - L_N - L_R} [NO]$		$\frac{L_{OH-VOC}}{L_{OH-NO_2}} (Q - 2PER - L_R - L_{ON})$		$Q$		$NO$	
	day	afternoon	day	afternoon	day	afternoon	day	afternoon
S0	0.19	0.5	0.79	0.79	0.74	0.66	0.002	0.2
S0a	0.18	0.56	0.49	0.49	0.79	0.72	0.002	0.18
S0b	0.2	0.34	0.72	0.77	0.58	0.46	0.002	0.14
S1	0.09	0.69	0.77	0.77	0.86	0.85	0.03	0.09
S2	0.14	0.26	0.77	0.79	0.49	0.34	0.01	0.06
S3	0.1	0.59	0.76	0.79	0.77	0.72	0.07	0.03
S3a	0.06	0.56	0.76	0.77	0.62	0.79	0.08	0.03

PBL shows similar dependence to the change of  $NO_x$  and VOCs as in Fig. 9, although the column  $P(O_3)$ -VOC sensitivity is weaker than near the surface and is similar to column  $P(O_3)$ - $NO_x$  sensitivity. Inspection of model results suggests that the  $O_3$  production chemical regime increasingly shifts toward being  $NO_x$ -limited away from the surface (Fig. S4 in the Supplement). The co-benefit of concurrent reduction of both  $NO_x$  and VOCs in reducing  $O_3$  production is larger when integrated in the PBL column than near the surface (by comparing Fig. S4 of the Supplement with Figure 9).

### 3.4.2 Evaluation with diagnostic equations of $O_3$ production for different chemical regimes

Various studies have provided relatively simple diagnostics for  $O_3$  production regimes (e.g. Sillman et al., 1990; Kleinman et al., 1997; Daum et al., 2000). Lei et al. (2007) summarized these studies into two equations:

$$\begin{aligned} & NO_x - \text{limited regime, } P(O_3) \\ &= Y \frac{k_t}{\sqrt{2k_{\text{eff}}}} \sqrt{Q - L_N - L_R} [NO] \end{aligned} \quad (1)$$

$$\begin{aligned} & VOC - \text{limited regime, } P(O_3) \\ &= Y \frac{L_{OH-VOC}}{L_{OH-NO_2}} (Q - 2PER - L_R - L_{ON}) \end{aligned} \quad (2)$$

where  $k_t$  is the weighted average rate constant for reaction of  $HO_2$  and  $RO_2$  with  $NO$ ;  $k_{\text{eff}}$  is the effective rate constant for peroxide ( $H_2O_2$  and  $ROOH$ ) formation;  $Q$  is the total primary source of  $RO_x$  radicals, in  $\text{ppbv h}^{-1}$ ;  $L_N$ ,  $L_R$  and  $L_{ON}$  are the radical loss rates due to the reactions of  $OH + HO_2$ ,  $RO_2 + R'O_2$ , and radical- $NO_x$  reactions excluding  $OH + NO_2$ , respectively;  $Y$  is the average yield of  $HO_2$  and  $RO_2$  for each  $OH + VOC$  reaction;  $L_{OH-VOC}$  and  $L_{OH-NO_2}$  are the loss rates of  $OH$  due to reactions with VOCs and  $NO_2$ , respectively;  $PER$  is the peroxide formation rate.

We compare the correlations between model calculated hourly  $P(O_3)$  ( $\text{ppbv h}^{-1}$ ) and those from the diagnostic equations (Lei et al., 2007). The results for different model sensi-

tivity simulations are shown in Table 2. We also show in Table 2 the correlations with  $NO$  and the primary  $RO_x$  source  $Q$ . In the standard model (S0),  $P(O_3)$  shows better correlation with  $\frac{L_{OH-VOC}}{L_{OH-NO_2}} (Q - 2PER - L_R - L_{ON})$  (afternoon  $R^2 = 0.79$ ; daytime  $R^2 = 0.79$ ) than with the  $NO_x$ -limited diagnostics ( $\sqrt{Q - L_N - L_R} [NO]$ ) (afternoon  $R^2 = 0.50$ ; daytime  $R^2 = 0.19$ ), mainly due to the much better  $P(O_3)$ - $Q$  correlation (afternoon  $R^2 = 0.66$ ; daytime  $R^2 = 0.74$ ) than the  $P(O_3)$ - $NO$  correlation (afternoon  $R^2 = 0.22$ ; daytime  $R^2 = 0.002$ ). These suggest that  $P(O_3)$  during our observations in Beijing behave more like in the VOC-limited regime than the  $NO_x$ -limited regime. This is particularly true when morning data are taken into account since  $O_3$  production can clearly reside in the VOC-limited regime (Fig. 10). It is interesting to note that the VOC-limited regime in the morning disappears when the sensitivity is integrated in the PBL (Fig. S5 in the Supplement). In the afternoon when  $O_3$  production is large, however, both diagnostics show reasonably good correlations with the  $P(O_3)$ . An outlier is the scenario of S2 when aromatics are not included; the chemical regime clearly shifts into the VOC-limited regime given the much better correlation ( $R^2 = 0.79$ ) with  $\frac{L_{OH-VOC}}{L_{OH-NO_2}} (Q - 2PER - L_R - L_{ON})$  than with ( $\sqrt{Q - L_N - L_R} [NO]$ ) ( $R^2 = 0.26$ ). In the scenario of S0a (without  $HO_2$  aerosol uptake) for the afternoon,  $P(O_3)$  correlate even better with the  $NO_x$ -limited diagnostics ( $R^2 = 0.56$ ) than with the VOC-limited diagnostics ( $R^2 = 0.49$ ). Comparing the results of S0, S0a, and S0b, aerosol uptake of  $HO_2$  tends to shift the  $O_3$  production more towards the VOC-limited regime.

In general, the diagnostic equations are consistent with our sensitivity simulations, suggesting that under the most realistic scenario (S0),  $O_3$  production in Beijing is in the transition regime. Aromatics and excess HONO tend to shift  $O_3$  production into  $NO_x$ -limited regime, while aerosol  $HO_2$  sink tends to shift it towards VOC-limited regime.

## 4 Conclusions

In this work, summertime photochemistry at Beijing is investigated with a 1-D photochemical model constrained by the observations near the surface. Through detailed chemical budget analysis, we find that VOC (especially aromatics) oxidation and heterogeneous chemistry (including HONO formation and HO<sub>2</sub> uptake by aerosols) play potentially critical roles in RO<sub>x</sub> budgets and O<sub>3</sub> formation. A series of modeling experiments are conducted to explore the model sensitivities to these processes, particularly in light of the uncertainties in the understanding of heterogeneous processes. Although the quantitative results presented are somewhat dependent on the parameters we chose for the heterogeneous reactions, the detailed diagnostics of radical sources and sinks and model sensitivity results can be used to estimate the significance of these processes when better information is available or alternative assumptions are made in future studies. Sensitivity experiments are also done for determining the response of P(O<sub>3</sub>) to the change of NO<sub>x</sub> and VOCs in order to understand the O<sub>3</sub> photochemical regimes near the surface.

Through a detailed chemical budget analysis, we find that summertime photochemistry in Beijing is characterized by fast formation, recycling and removal of RO<sub>x</sub> radicals. The total RO<sub>x</sub> primary source (and sink) (6.6 ppbv h<sup>-1</sup>) near the surface in Beijing is close to the largest values reported for urban environments. Photolysis of OVOCs (4.2 ppbv h<sup>-1</sup>) and excess HONO (2.2 ppbv h<sup>-1</sup>) are the two largest RO<sub>x</sub> sources, much more important than that from O<sup>1</sup>D+H<sub>2</sub>O (0.4 ppbv h<sup>-1</sup>). Formation of RO<sub>2</sub>NO<sub>2</sub> (1.0 ppbv h<sup>-1</sup>) and RONO<sub>2</sub> (0.7 ppbv h<sup>-1</sup>) are as important as the typical major RO<sub>x</sub> sink via OH + NO<sub>2</sub> reaction (1.6 ppbv h<sup>-1</sup>). Aromatics are the major player in OVOC and organic nitrate formation. Aerosol uptake of HO<sub>2</sub> may also be a major RO<sub>x</sub> sink due to the large aerosol surface area in Beijing, and this sink is quite sensitive to the value of  $\gamma$  (HO<sub>2</sub>). The importance of aromatics, heterogeneous HONO production, and possibly large aerosol uptake of HO<sub>2</sub> signifies the unique photochemical environments in Beijing. These characteristics are likely to be present over many regions of the polluted eastern China with large clusters of cities and industrial regions. Observation-based modeling studies of RO<sub>x</sub> radical chemistry over these regions that include high quality comprehensive measurements of RO<sub>x</sub> radicals, HONO, organic nitrates, and VOCs (aromatics in particular) and their oxidation products will be necessary to reduce the uncertainties of the factors discussed in this study and develop more accurate mechanistic and quantitative understanding of the photochemical system.

The chemical production of O<sub>3</sub> near the surface in Beijing is extremely fast, at 32 ppbv h<sup>-1</sup> on average during daytime. The high concentrations of NO (~5 ppbv at noontime), excess HONO and aromatic VOCs are the major driving factors. The O<sub>3</sub> production rate decreases rapidly away from the surface in the PBL, leading to a factor of 4 lower gross

and net O<sub>3</sub> production rates in the PBL compared to the surface. The chemical loss of O<sub>3</sub> near the surface is also fast, about 6 ppbv h<sup>-1</sup>, and the heterogeneous formation of excess HONO via NO<sub>2</sub> → HONO is actually the largest (~40%) O<sub>3</sub> loss term. Sensitivity simulations and analysis using diagnostic equations suggest that the O<sub>3</sub> production in Beijing does not lie in either the VOC-limited regime or in the NO<sub>x</sub>-limited regime, but in the transition regime, where reduction of either NO<sub>x</sub> or VOCs could lead to reduced O<sub>3</sub> production. The transition regime feature is even more pronounced than near the surface when the sensitivity to NO<sub>x</sub> or VOCs of column P(O<sub>3</sub>) rate integrated from the surface to the top of the PBL is considered. It implies that there is flexibility in choosing either NO<sub>x</sub> or VOC reduction to achieve the most cost-effective O<sub>3</sub> reduction. The co-benefit of concurrent reduction of both NO<sub>x</sub> and VOCs is small based on modeling analysis of near-surface observations. However, it becomes significant when integrated in the PBL column.

In this study, we have focused on understanding the photochemistry using observation-constrained modeling. Our results point to chemical characteristics not yet well represented in current 3-D modeling studies. The large clustering of concentrated city and industrial regions in the eastern China, such as North China Plain (NCP), Yangtze River Delta (YRD), and PRD, suggests that fast photochemistry plays a critically important role in determining O<sub>3</sub> levels in these regions. 3-D modeling analysis, ideally constrained by in situ or remote sensing observations, will be necessary to understand the interplay of chemistry and transport on the regional and global scales.

**Supplementary material related to this article is available online at:** <http://www.atmos-chem-phys.net/12/7737/2012/acp-12-7737-2012-supplement.pdf>.

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