



# Analysis of exceedances in the daily PM<sub>10</sub> mass concentration (50 µg m<sup>-3</sup>) at a roadside station in Leipzig, Germany

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**Abstract.** Five years of PM<sub>10</sub> and PM<sub>2.5</sub> ambient air measurements at a roadside, an urban, and a regional background site in Leipzig (Germany) were analyzed for violations of the legal PM<sub>10</sub> limit value (EC, 1999). The annual mean PM<sub>10</sub> concentrations at the three sites were well below the legal threshold of 40 µg m<sup>-3</sup> (32.6, 22.0 and 21.7 µg m<sup>-3</sup>, respectively). At roadside, the daily maximum value of 50 µg m<sup>-3</sup> was exceeded on 232 days (13 % of all days) in 2005–2009, which led to a violation of the EC directive in three out of five years. We analysed the meteorological factors and local source contributions that eventually led to the exceedances of the daily limit value. As noted in other urban environments before, most exceedance days were observed in the cold season. Exceedance days were most probable under synoptic situations characterised by stagnant winds, low temperatures and strong temperature inversions in winter time. However, these extreme situations accounted for only less than half of the exceedance days. We also noticed a significant number of exceedance days that occurred in the cold season under south-westerly winds, and in the warm season in the presence of easterly winds. Our analysis suggests that local as well as regional sources of PM are equally responsible for exceedance days at the roadside site. The conclusion is that a combined effort of local, national and international reduction measures appears most likely to avoid systematic exceedances of the daily limit value in the future.

## 1 Introduction

Since 2005, legal limit values apply to environmental particulate matter (PM) within the European Community (EC, 1996, 1999). PM<sub>10</sub> denotes the total mass concentration of sus-

pended particles with aerodynamic diameters smaller than 10 µm. The metrics of PM<sub>10</sub> and PM<sub>2.5</sub> are simple in that they can be determined in air quality networks with reasonable costs using on-line instrumentation such as the TEOM (tapered element oscillating microbalance), the beta gauge, the OPC (optical particle counter), or the off-line reference methods based on filter collection and gravimetry.

On the other hand, it is evident that especially PM<sub>10</sub> encompasses a wide range of particle types regarding size (coarse, fine, ultrafine), chemical composition (dust, combustion particles, marine primary particles, secondary organic aerosol, secondary inorganic aerosol), and sources (natural, traffic, industry, domestic households, secondary processes). This complex composition hampers the understanding of PM<sub>10</sub> as a function of local sources, long-range transport and meteorology for a given site. In practice, exceedances of the legal limit values, particularly the daily limit value of 50 µg m<sup>-3</sup> have frequently occurred at air quality monitoring stations in many EC member states. Due to the scientific evidence of health effects as a result of airborne particulate matter exposure, health effects scientists have called for a more serious consideration of efficient abatement measures (Annesi-Maesano et al., 2007).

The actual reasons for the PM<sub>10</sub> exceedances are manifold on a European and worldwide level. In the UK, which may be taken as representative for Western Europe, the advection of continental air masses as well as regional secondary aerosol formation seem to be responsible for the majority of exceedances (Charron et al., 2007). In the metropolitan area of Berlin, Germany, 50 % of the PM<sub>10</sub> mass concentration is estimated to originate from regional and long-range transport rather than local sources (Lenschow et al., 2001). In arid regions like Spain, wind-blown mineral dust – partially

imported from Africa, partially from agricultural soils, may have a major impact (Escudero et al., 2007). Even as far north as Germany, Saharan dust may enhance PM concentrations at ground level several times a year (Bruckmann et al., 2008).

Agricultural dust is sometimes assumed to be responsible for the differences between measured and modelled PM<sub>10</sub> concentrations in urban areas, and significant amounts thereof can originate from long-range transport (Vautard et al., 2005; Birmili et al., 2008). Putaud et al. (2010) concluded for Europe that mineral aerosol is the main component in the PM<sub>[2.5;10]</sub> aerosol fraction, but of minor importance in PM<sub>2.5</sub>. Particular sources of PM<sub>10</sub> in urban environments are traffic, domestic heating and cooking, construction sites, industries, power generation or wind-blown resuspended dust (e.g., Querol et al., 2004). The traffic induced contribution includes abrasion (e.g., brake wear and tyre wear) (Sanders et al., 2003; Weckwerth, 2001), resuspension of road dust (Sternbeck et al., 2002; Amato et al., 2009), diesel soot particles (Kittelson, 1998; Rose et al., 2006) and nucleation mode particles (Kittelson, 1998) from exhaust gas.

According to official inventories, the emissions of primary particles and precursors of secondary particles in Europe have declined significantly over the past 20 yr, particularly in Central and Eastern Europe as a result of political and economic changes since 1990. Until the year 2000, these emission reductions have reflected themselves in clear corresponding trends of PM<sub>10</sub>, for example in Germany (Spindler et al., 2004; UBA, 2009). Since the year 2000, however, the PM<sub>10</sub> concentrations in Germany seem to stagnate, and feature some mere inter-annual fluctuations (UBA, 2009). A similar stagnation of PM<sub>10</sub> since 2000 has also been reported for other areas in Europe, such as the UK (Harrison et al., 2008), Switzerland (Barnpadimos et al., 2011), Belgium, Czech Republic, Italy and Norway. This is in notable contrast to the continued reductions in PM emissions. Germany, for example, reported a 20 % decrease in PM<sub>10</sub> emissions between 2000 and 2010 (updated information from UBA (2009)). The stagnating ambient levels of PM<sub>10</sub> are therefore not fully understood and merit detailed examination. A better understanding on the sources and concentrations of PM<sub>10</sub> is highly relevant, because the problem of exceedances in the daily limit value of PM<sub>10</sub> seem to continue.

This work is concerned with the analysis of the meteorological situations leading to exceedances of the daily limit value of PM<sub>10</sub> (50 µg m<sup>-3</sup>) in the city of Leipzig, East Germany. The main tool is back trajectory cluster analysis. In order to minimize subjectivity, a *k*-means cluster algorithm was applied in this study. Trajectory coordinates were used as the clustering variables the first time by Moody and Galloway (1988). Also, Kemp (1993) and Mukai and Suzuki (1996) have analysed aerosol data using air mass trajectories. Ozone concentration data have been interpreted by trajectory clustering methods by Brankov et al. (1998) or Cape et al. (2000). The long-range transport of aerosol particle

concentrations was estimated by Buchanan et al. (2002), and Dutkiewicz et al. (2004) investigated the long-range transport of sulphate. Similar to the procedure presented here, Abdalmogith and Harrison (2005) clustered back trajectories and then assigned pollutant concentrations like PM<sub>10</sub> to the defined clusters. They found the highest sulfate, nitrate and PM<sub>10</sub> mass concentrations and the lowest chloride mass concentrations in continental air masses and reversely the lowest ones in fast moving marine air masses. Higher particle mass concentrations were also observed in winter and spring compared to summer and fall. Beddows et al. (2009) applied a completely mathematical cluster analysis without the use of back trajectories for rural, urban and, kerbside atmospheric particle size data to determine temporal and spatial trends of the particle size distributions. Baker (2010) used a cluster analysis to analyze the long-range air transport and associated particle mass concentrations. The cluster method used here was already successfully applied for the interpretation of transboundary anthropogenic pollution (Engler et al., 2007; Birmili et al., 2010).

## 2 Experimental

### 2.1 Observation sites

In this work, PM<sub>10</sub> mass concentrations and exceedances of the daily limit value of 50 µg m<sup>-3</sup> were analyzed for the city of Leipzig, Germany, for the period between January 2005 and December 2009. The observation sites include a roadside site (“Leipzig-Mitte”) and an urban background site (“Leipzig-West”) operated by the Saxon State Office for Environment, Agriculture and Geology. To assess the regional background of PM we employed data from IfT’s research station Melpitz, around 50 km northeast of Leipzig.

Leipzig-Mitte is a roadside site in Leipzig, located near the inner-city ring road and in immediate vicinity to the central train station (Fig. 1). Among the three stations, Leipzig-Mitte exhibits the highest concentrations of particulates and nitrogen oxides. Immediately north of the site, three main roads merge at an intersection with daily average traffic volumes around 44 000 vehicles (48 000 on workdays) in 2008. The measurement container borders at a tributary road connected to the ring road by traffic lights. This sometimes leads to traffic jams at only few meters distance to the aerosol inlets of the measurement site. Construction activities in the vicinity of the site have occasionally disturbed the measurements in 2007 and 2008. The influence of these constructions was estimated to contribute around 10 µg m<sup>-3</sup> to the monthly average of PM<sub>10</sub> for December 2007 and January 2008, respectively (The City of Leipzig, 2009).

Leipzig-West is located in the western suburbs of Leipzig, and is classified as an urban background station. The distance to Leipzig-Mitte is about 7 km. The residential area consists of multi-storey apartment blocks that are heated by

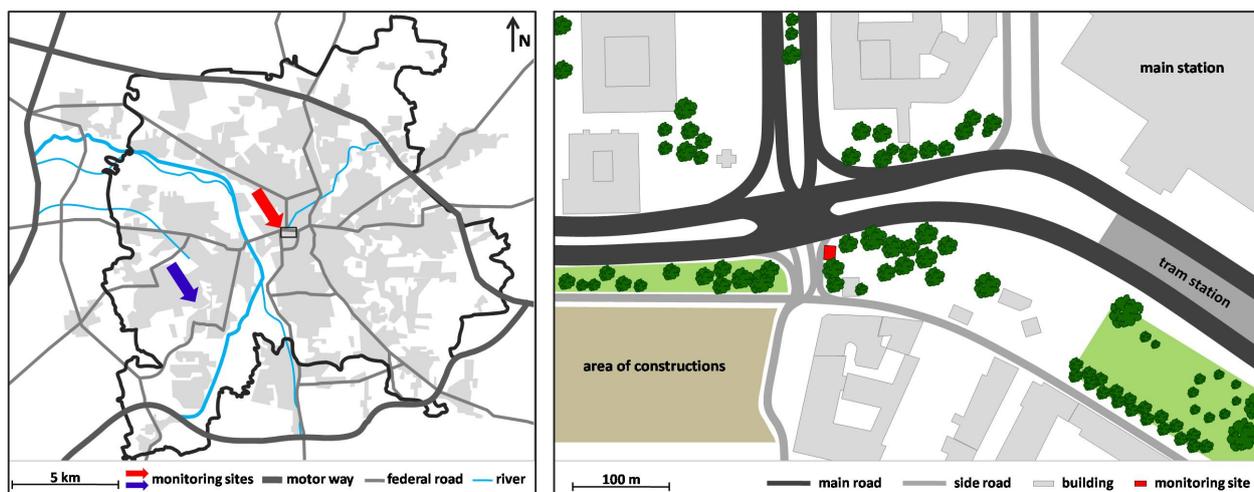


Fig. 1. Regional map of Leipzig, Germany, including the detailed surroundings of the LfULG monitoring site Leipzig-Mitte.

district heating. The station is installed on hospital premises, with the vicinity being dominated by a green park. A minor road passes by the station around 30 m west of the site.

Melpitz is a research station located about 50 km northeast of Leipzig. The site is surrounded by flat grass lands, agricultural pastures and woodlands within several tens kilometres. The measurement site can be considered as representative for the Central European regional aerosol (Engler et al., 2007; Spindler et al., 2010). The overall distance to the North Sea is about 400 km to the northwest and 1000 km to the west. Melpitz is part of the networks ACTRIS (Aerosols, clouds, and trace gases research Infrastructure network), EMEP (European Monitoring and Evaluation Programme) and GUAN (German Ultrafine Aerosol Network; Birmili et al., 2009).

## 2.2 PM measurements

The 24 h mass concentrations of PM<sub>10</sub> and PM<sub>2.5</sub> at Leipzig-Mitte and Melpitz were determined by using Digital High Volume Samplers (Walter Riemer Messtechnik, Germany) (Gnauk et al., 2005). PM<sub>10</sub> mass in Leipzig-Mitte and Melpitz as well as PM<sub>2.5</sub> in Melpitz were available daily, but PM<sub>2.5</sub> in Leipzig-Mitte only every second day. For Leipzig-Mitte, glass-fibre filters were used. Because the 24 h filter samples of Melpitz were additionally analyzed for daily chemical particle composition considering the main ions (sulfate, nitrate, ammonium, chloride, sodium, calcium, magnesium, potassium) and organic and elemental carbon, the use of quartz-fibre filters was mandatory.

These quartz-fibre filters were preheated for 24 h at 105°C to minimize blank values of OC (Spindler et al., 2010). The conditioning time before weighing was 48 h (relative humidity 50(±2) %, temperature 20(±2) °C). The uncertainty for the gravimetric mass determination is about 1 to 2 μg m<sup>-3</sup> and for the ion analysis less than 10 % (Neusüß et al., 2000; Brüggemann et al., 2005).

In Leipzig-West, PM<sub>10</sub> mass concentrations were measured with the standard TEOM instrument (Tapered Element Oscillating Microbalance) at a time resolution of 30 min. This method is biased, because the standard operation temperature of 50 °C causes a systematic underestimation of the real PM<sub>10</sub> values of up to 50 % due to the evaporation of volatile compounds such as ammonium nitrate (Charron et al., 2004; Spindler et al., 2010). As a general correction scheme, the TEOM values at Leipzig-West were adjusted by the network operator by using a limited set of samples determined by the reference method. The correction scheme involved a multiple regression analysis using ambient temperature and relative humidity as control variables. While the mean values obtained by that method for Leipzig-West can be considered reliable, individual daily values may be afflicted with considerable uncertainty.

## 2.3 Auxiliary data

Local meteorological measurements were conducted at all three observation sites. In addition, 72 h back trajectories were calculated using the NOAA-HYSPLIT4 (Hybrid Single-Particle Lagrangian Integrated Trajectory) model (Draxler and Hess, 2004). Radio soundings from the meteorological observatory Lindenberg, operated by the German Weather Service (DWD), were used in the trajectory cluster analysis. Lindenberg is situated about 150 km northeast of Leipzig and the profiles obtained there are assumed to be representative for Leipzig as well due to the flat terrain in between.

## 3 Data processing methods

All PM data were processed as daily average values (sampling time 00:00–24:00 CET). Based on the daily PM<sub>10</sub> mass

concentration, each measurement day was classified into an exceedance or a non-exceedance day according to the EC directive 1999/30/EC.

### 3.1 Derivation of the roadside increment

Lenschow et al. (2001) showed for the metropolitan region of Berlin that PM<sub>10</sub> concentration at a roadside (or traffic kerbside) can be described as a superposition of three source type contributions: (a) the regional background, (b) the urban background increment, which originates from sources inside the same city, but not from immediately nearby, and (c) the roadside increment which originates from vehicular traffic on the adjacent road or street. Lenschow et al. (2001) estimated these contributions by subtracting roadside, urban background, and rural background concentrations, respectively. In this paper, we mostly use the roadside increment  $\Delta \text{PM}_{10}$  in the definition of

$$\Delta \text{PM}_{10} = \text{PM}_{10,\text{roadside}} - \text{PM}_{10,\text{rural}}. \quad (1)$$

The reason for omitting the urban background concentrations were twofold. First, there is a close agreement between urban and rural background PM<sub>10</sub> concentrations in Leipzig, as shown in Sect. 4.1. This leads to the urban background being around zero most of the time. Second, we face a reduced measurement accuracy for PM<sub>10</sub> at the urban background station due to the use of a standard TEOM, as explained in Sect. 2.2. These reasons prohibit a sound interpretation of the urban background increment within the measurement accuracy.

### 3.2 Back trajectory cluster analysis

Back trajectories have been recognized as a valuable tool to investigate the large-scale origin of air pollutants (Stohl, 1998). Back trajectory cluster analysis combines similar trajectories into distinct groups (clusters). One major advantage of the cluster method is that it can be automated.

We applied a custom-made *k*-means cluster algorithm (programmed in LabVIEW, National Instruments, Version 6.1), which was developed in analogy to the approach first reported by Dorling et al. (1992). *k*-means cluster analysis divides the data set into a predetermined number *k* of trajectory clusters. The groundwork of the cluster analysis were back trajectories calculated using the HYSPLIT4 model. In addition, vertical profiles of pseudo potential temperature  $\Theta_e$  calculated from 12:00 UTC radiosonde ascents were used. Profiles of pseudo potential temperature were incorporated in the cluster variables because they characterize the degree of vertical atmospheric stratification, which is of vital importance for pollutant dispersal near the ground. We successfully applied this cluster method before (Engler et al., 2007; Birmili et al., 2010), and confirmed that the inclusion of the vertical temperature profile enhanced the meaningfulness of

the cluster results, particularly when using multi-annual time series.

The following Euclidian distances were computed to express the spatial separation between two back trajectories *i* and *j*:

$$L_{i,j} = \frac{1}{k} \sum_k \sqrt{\sum_{l=1}^4 a_l (x_{li} - x_{lj})^2}. \quad (2)$$

Here, *k* is the number of trajectory points, which was chosen as *k* = 72 to represent hourly back trajectory positions over 3 days. The choice of back trajectory length of 72 h was supported by the life time of several secondary species (Wojcik and Chang, 1997).

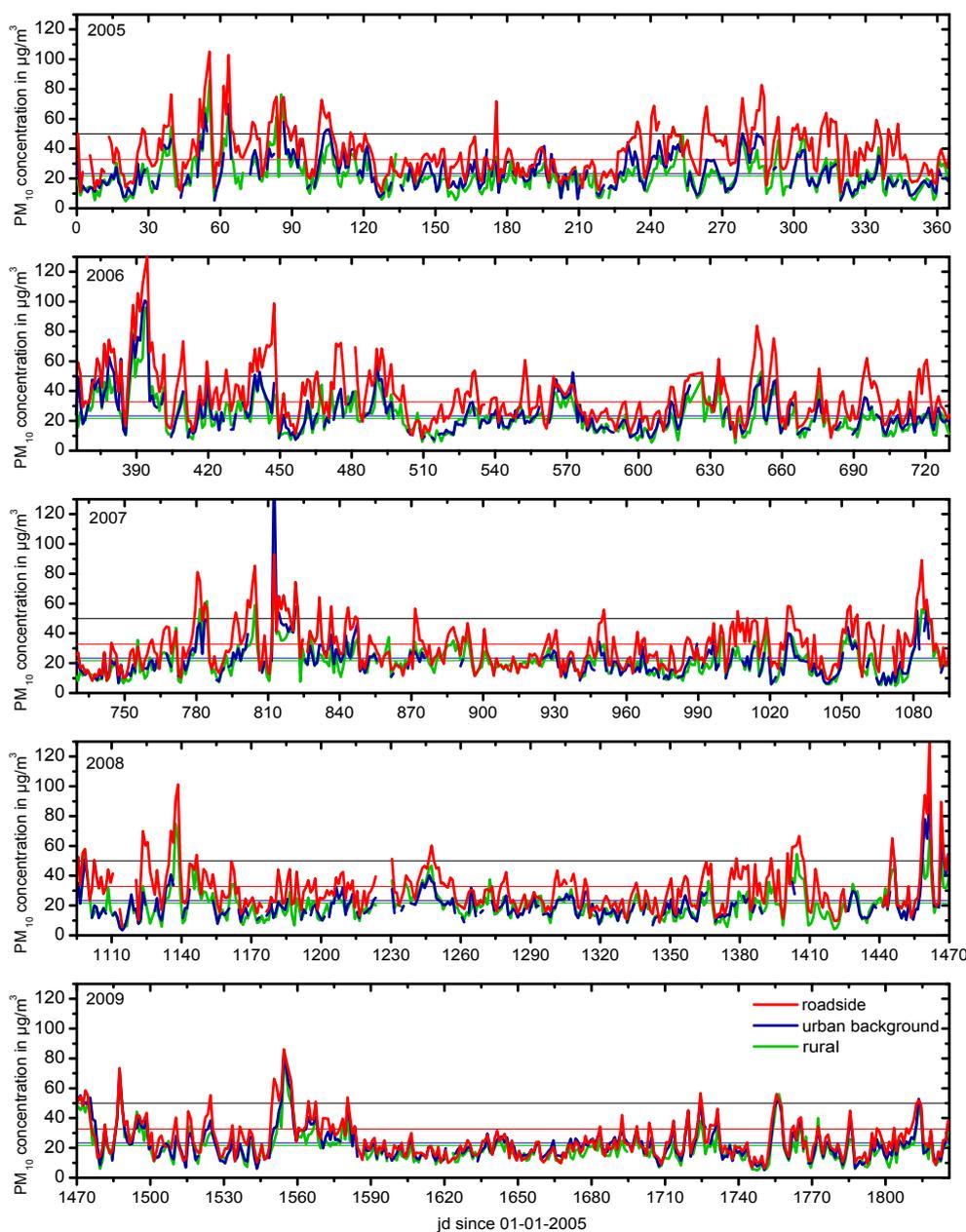
*l* is the dimension of the vector to be clustered, which includes the four variables of geographical latitude and longitude (*x*, *y*; both in Cartesian coordinates), height above ground (*z*) and pseudo potential temperature ( $\Theta_e$ ). To create four variables of equitable magnitude, the weights *a<sub>l</sub>* are required and were chosen as  $a_1 = a_2 = 1 \text{ }^\circ\text{-}^{-1}$ ,  $a_3 = 10^{-5} \text{ m}^{-1}$ , and  $a_4 = 2 \text{ K}^{-1}$ .

Notably, the choice of *a<sub>3</sub>* and *a<sub>4</sub>* required prior test runs, because temperature is a variable entirely dissimilar from geographical position. In practice, the cluster algorithm was run for a range of cluster numbers between 3 and 14. The deviation of the average PM<sub>10</sub> concentrations (and the other aerosol and meteorological data) between the clusters was calculated for each test run and used for the choice of the weighing parameters. A higher pre-defined cluster number will split the data set in smaller sub-sets, which correspond more specifically to certain synoptic-scale weather situations. A lower cluster number will, in contrast, produce less but larger sub-sets of data. Those larger sub-sets may be representative for larger parts of the entire observation period, but are less specific to individual synoptic-scale weather situations. The decision, how many clusters to use for the final discussion of the PM<sub>10</sub> data reflected a compromise between simplicity of display (low *n*) and a more visible separation of the data clusters (high *n*), and yielded in 9 clusters.

## 4 Results

### 4.1 Basic characteristics of PM<sub>10</sub> in Leipzig

Figure 2 presents the time series of PM<sub>10</sub> concentration at the three observation sites (roadside, urban background, rural) throughout the entire observation period 2005–2009. The sites were characterised by long-term averages between 21 and 33  $\mu\text{g m}^{-3}$ . See Table 1 for a brief overview of the PM<sub>10</sub> and PM<sub>2.5</sub> mean values. These PM<sub>10</sub> concentrations are consistent with other Central European lowland observation sites whose annual average values range between 20 and 30  $\mu\text{g m}^{-3}$  (Putaud et al., 2010). It is worth to note that in every year, there is at least one longer episode with



**Fig. 2.** Daily average PM<sub>10</sub> concentrations at the three measurement sites (roadside, urban background, rural background) during 2005–2009. Colored lines indicate the average values over the entire period for each station; the black line displays the limit value of  $50 \mu\text{g m}^{-3}$ .

PM<sub>10</sub> >  $50 \mu\text{g m}^{-3}$  at all sites (Fig. 2). These correspond to pollution episodes that tend to affect wide spatial areas.

Not unexpectedly, the mass concentrations at roadside were usually higher than or equal to those at the urban and rural background sites (Fig. 2). The difference between the averages of the urban and rural background concentrations was, however, found to be negligible within the measurement uncertainty.

This similarity of urban and rural background values suggests that diffuse urban sources seem to play only a minor role in Leipzig, at least in the residential area where the ur-

ban background site is situated (Leipzig-West). Local traffic sources appear to account for the major fraction of spatial variation in PM<sub>10</sub>, which is supported by PM transport simulations on behalf of the municipal authorities (The City of Leipzig, 2009). As a conclusion, it does not matter greatly for this study whether the calculation of the roadside increment uses the urban background or rural background levels as a baseline.

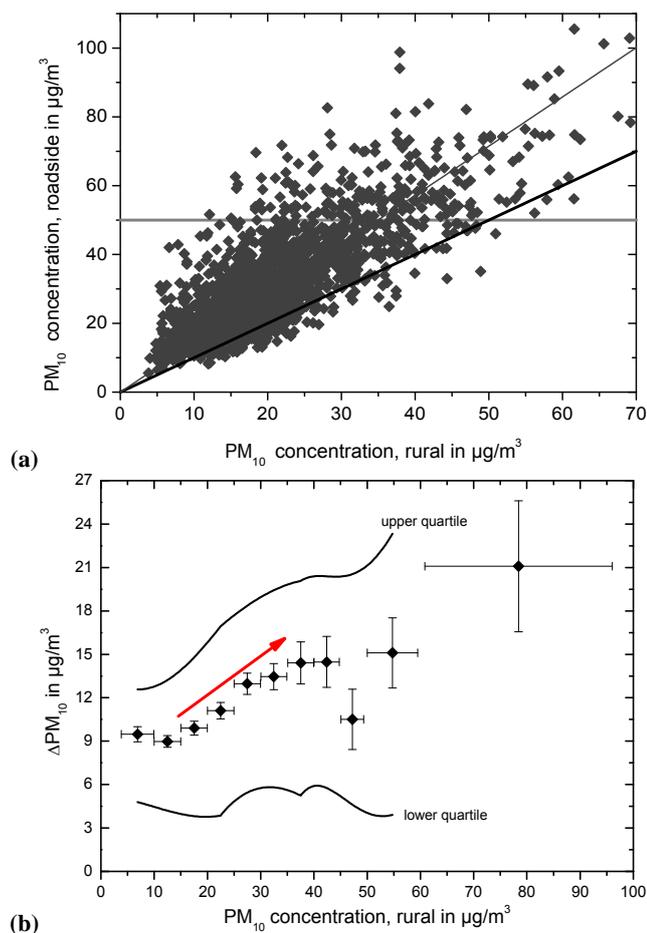
Figure 3a compares roadside and rural background PM<sub>10</sub> values for the period 2005–2009, yielding a general positive correlation with a slope of 1.43. As indicated by the

**Table 1.** Average PM<sub>10</sub> and PM<sub>2.5</sub> particle mass concentrations over the five year period of this study ( $\mu$ ), in  $\mu\text{g m}^{-3}$ . The Table includes the standard deviations of the mean ( $\sigma_\mu$ ).

location	PM <sub>10</sub>		PM <sub>2.5</sub>	
	$\mu$	$\sigma_\mu$	$\mu$	$\sigma_\mu$
Leipzig-Mitte (roadside)	32.6	0.4	18.2	0.5
Leipzig-West (urban background)	22.0	0.3		
Melpitz (rural background)	21.7	0.3	17.4	0.3

**Table 2.** Number of exceedances per year of the daily limit value for PM<sub>10</sub>. The number of valid measurement days is added in brackets.

year	Leipzig-Mitte roadside		Leipzig-West urban background		Melpitz rural background	
	year	roadside	urban background	rural background	year	rural background
2005	70	(354)	9	(358)	8	(356)
2006	71	(348)	17	(350)	11	(350)
2007	38	(356)	6	(359)	10	(359)
2008	28	(337)	5	(337)	6	(353)
2009	25	(363)	18	(362)	11	(355)



**Fig. 3.** Comparison between rural PM<sub>10</sub>, roadside PM<sub>10</sub> and the roadside increment  $\Delta\text{PM}_{10}$ , 2005–2009. In (a) the daily limit value of  $50\ \mu\text{g m}^{-3}$  is indicated by a horizontal line. A linear fit through the origin yields a slope of 1.43 ( $R^2 = 0.62$ ). In (b) data were averaged over bins of rural PM<sub>10</sub> indicated by x-axis whiskers. Y-axis whiskers indicate the standard error of the mean. The range of a multiplicative effect in the roadside increment  $\Delta\text{PM}_{10}$  is indicated by a red arrow.

line of unity, the roadside values exceed the rural background concentrations in the overwhelming number of cases so that a meaningful roadside increment can be calculated. On individual days, the roadside increment could reach up to  $60\ \mu\text{g m}^{-3}$ .

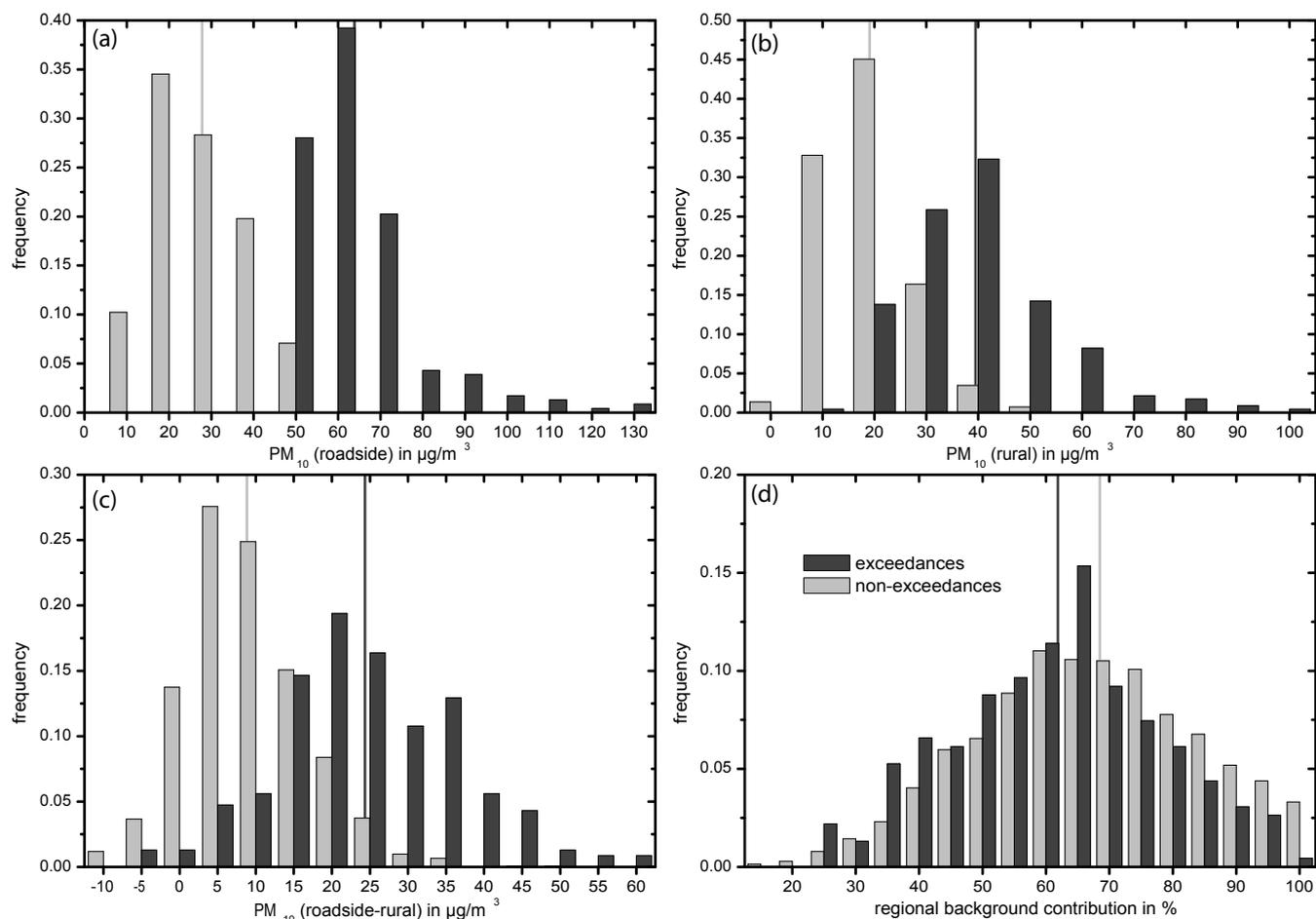
Figure 3b takes a look into the relationship between rural background PM<sub>10</sub> and the roadside increment  $\Delta\text{PM}_{10}$ . For this purpose,  $\Delta\text{PM}_{10}$  data were averaged over the bins of rural PM<sub>10</sub> indicated by x-axis whiskers. Figure 3b indicates arithmetic mean values of  $\Delta\text{PM}_{10}$ , with the standard deviation of the mean as a whisker. The central result is that  $\Delta\text{PM}_{10}$  is ruled by a superposition of an additive effect around  $9\ \mu\text{g m}^{-3}$ , and a multiplicative effect (indicated by a red arrow). The multiplicative effect implies that  $\Delta\text{PM}_{10}$  depends on the level of PM<sub>10</sub> already present in the rural background. Concrete numbers suggest that between an average of rural PM<sub>10</sub> of 10 and  $40\ \mu\text{g m}^{-3}$ ,  $\Delta\text{PM}_{10}$  amounts from 9.5 to  $14.5\ \mu\text{g m}^{-3}$ , i.e. by around  $2.5\ \mu\text{g m}^{-3}$  per increase in  $10\ \mu\text{g m}^{-3}$  increase in rural background.

#### 4.2 Exceedances of the daily limit value

The availability of daily PM<sub>10</sub> values at the roadside station was 1788 out of 1826 possible days, i.e. 98 %. These data were measured by the reference filter method.

On 232 of these 1788 days, the daily limit value of  $50\ \mu\text{g m}^{-3}$  was exceeded. These 13 % of all days are called “exceedance days” in the following. Table 2 lists the number of daily limit value exceedances per year and site. At roadside, the Council Directive (no more than 35 exceedances/year) was violated in 2005, 2006 and 2007. The background stations featured consistently less than 35 exceedances per year, so we focus on the analysis of exceedance days at the roadside site hereafter. Since the urban and rural background average PM<sub>10</sub> concentrations were very similar, we only used the rural site as a background measure in the following.

Figure 4 contrasts exceedance and non-exceedance days through their distribution of PM<sub>10</sub> values. Figure 4a illustrates the rather trivial split of roadside concentrations into exceedance and non-exceedance days. A majority of exceedance days ( $n = 207$ ) shows concentrations between 50 and  $80\ \mu\text{g m}^{-3}$ , while higher values up to the maximum of  $130\ \mu\text{g m}^{-3}$  were scarcely found ( $n = 25$ ). This highlights that the problem of limit value exceedances is essentially caused by a modest surplus of up to  $30\ \mu\text{g m}^{-3}$  in PM<sub>10</sub> at the roadside station.



**Fig. 4.** Relative frequency distributions of (a) PM<sub>10</sub> mass concentration at roadside, (b) PM<sub>10</sub> mass concentration in the rural background, (c) the traffic contribution at roadside ( $PM_{10,roadside} - PM_{10,rural}$ ), and (d) the regional background contribution at roadside ( $PM_{10,rural}/PM_{10,roadside}$ ). The populations for exceedance and non-exceedance days were normalised separately. Vertical lines indicate the median values of each population.

In Fig. 4b the rural background concentrations show a different split, with partly overlapping populations: On non-exceedance days, PM<sub>10</sub> was  $19 \mu\text{g m}^{-3}$  on average. On exceedance days, PM<sub>10</sub> amounted to  $40 \mu\text{g m}^{-3}$  on average, which corresponds to 80% of the daily limit value. On 46 days, the daily limit value was exceeded in the rural background atmosphere already. It is evident from Fig. 4b (though not surprising) that high PM<sub>10</sub> in the rural background atmosphere increase the likelihood of a limit value exceedance at roadside.

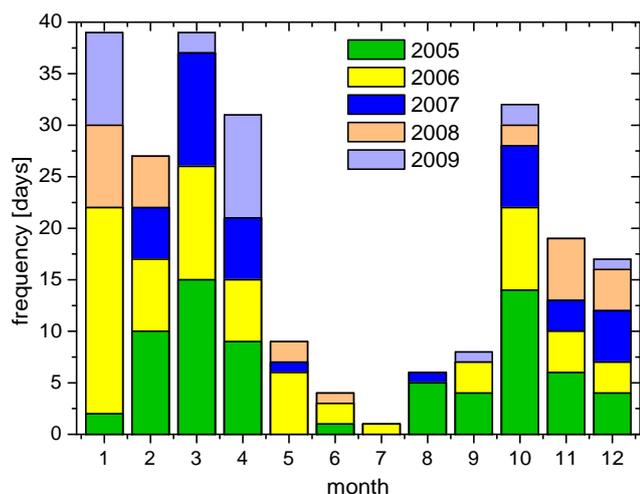
The contribution of traffic to PM<sub>10</sub> at roadside can be estimated by subtracting the corresponding rural background concentration, i.e. by calculating  $PM_{10,roadside-rural}$  ( $\Delta PM_{10}$ ). Figure 4c demonstrates that this roadside increment can be highly variable: Between 0 and  $35 \mu\text{g m}^{-3}$  (mean:  $8 \mu\text{g m}^{-3}$ ) on non-exceedance days, and between 5 and  $65 \mu\text{g m}^{-3}$  (mean:  $24 \mu\text{g m}^{-3}$ ) on exceedance days. The roadside increment is clearly enhanced on exceedance days compared to non-exceedance days (mean values 24 vs.

$8 \mu\text{g m}^{-3}$ ), no less than the rural background concentrations (mean values 40 vs.  $19 \mu\text{g m}^{-3}$ ).

Figure 4d displays the relative contribution of the regional background to PM<sub>10</sub> at roadside ( $PM_{10,rural}/PM_{10,roadside}$ ). Importantly, the histograms for exceedance days compared to non-exceedance do not differ remarkably. On exceedance days, 62% of the PM<sub>10</sub> at roadside is assumed to originate from the regional background atmosphere, and 69% on non-exceedance days. Importantly, the proportion between the contributions of regional sources and local traffic does not depend on whether a limit value exceedance occurred or not.

### 4.3 Seasonal effects

To better understand the PM<sub>10</sub> exceedances, correlations with a variety of factors including season and local meteorological parameters were examined. Figure 5 shows the occurrence of the exceedance days as a function of the month of the year. Rather obviously, the exceedance days



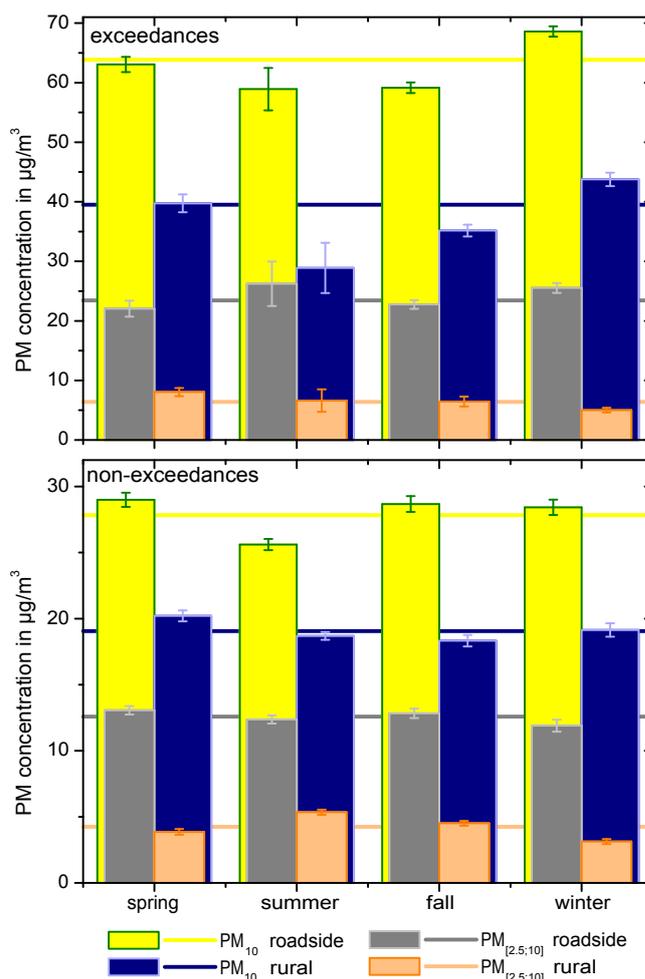
**Fig. 5.** Exceedances of the daily PM<sub>10</sub> limit value at the roadside site Leipzig-Mitte keyed after month of the year. Data coverage: 2005–2009.

concentrate on the cold season (October to April), with rather few exceedances occurring during the summer months May–September. There are two general explanations for this imbalance: First, enhanced PM emissions from heating and power generation. Such emissions have been shown to lead to enhanced levels of PM<sub>10</sub> in certain hotspots as well as in the rural background (Herrmann et al., 2006). Second, temperature inversions that lead to pollution trapping near the ground are more frequent during the cold season (e.g., Schäfer et al., 2006; Birmili et al., 2010).

In order to differentiate the influences of different particle source types, total PM<sub>10</sub> as well as the coarse particle sub-fraction PM<sub>[2.5;10]</sub> are distinguished after season in Fig. 6. Note that the coarse fraction PM<sub>[2.5;10]</sub> was calculated as PM<sub>10</sub> – PM<sub>2.5</sub>.

The main findings from Fig. 6 can be summarised as follows:

- For exceedance days, PM<sub>10</sub> shows a seasonality for both, roadside and rural background values, with the highest values occurring in winter and spring. This seasonality appears more pronounced in the rural background compared to roadside. On non-exceedance days, this seasonality is much less visible.
- PM<sub>[2.5;10]</sub> makes up a significant fraction of PM<sub>10</sub>. At roadside, the relative fraction varies between 42% in winter and 49% in summer. PM<sub>[2.5;10]</sub> shows a seasonality different from PM<sub>10</sub>, with maximum values in summer. This can usually be explained by increased re-suspension rates in the dry summer months.
- Exceedance days feature a consistently higher roadside-to-rural ratio for PM<sub>10</sub> as well as PM<sub>[2.5;10]</sub> in comparison to non-exceedance days. This highlights that an impact of local traffic sources is instrumental in generating



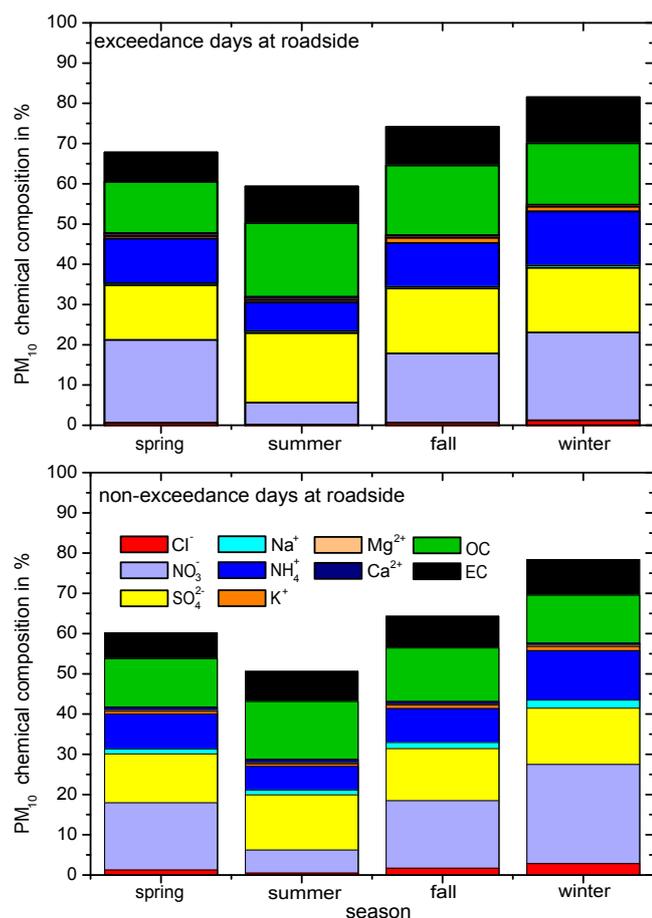
**Fig. 6.** Seasonal dependence of PM<sub>10</sub> and PM<sub>[2.5;10]</sub> at roadside and in the rural background. The display distinguishes between exceedance days (upper graph) and non-exceedance days (lower graph). Horizontal lines indicate annual average values. The seasons were defined as winter (December–February), spring (March–May), summer (June–August), fall (September–November).

exceedance days. The biggest roadside-to-rural ratios can be observed on exceedance days in summer. This means that in summer, when PM<sub>10</sub> is generally lower, overproportional contributions from local traffic are required for PM<sub>10</sub> to exceed the 50 µg m<sup>-3</sup> threshold.

#### 4.4 Chemical composition of rural background PM<sub>10</sub>

We used data on the chemical composition of bulk PM<sub>10</sub> to examine the particle sources that might be responsible for high PM concentrations. Long-term data on chemical particle composition has been available for the rural site Melpitz only.

Figure 7 shows that the bulk of PM<sub>10</sub> is made up by ammonium nitrate, sulfate, as well as elemental and organic carbon.



**Fig. 7.** Chemical mass fractions of rural background PM<sub>10</sub> for each season and (a) exceedance days at roadside and (b) non-exceedance days at roadside. The gap between the total amounts shown and 100 % is material not accessible to the analytical procedures; this is interpreted as insoluble crustal material.

This is in broad agreement with most places in Western, Central and Northern Europe (Putaud et al., 2010).

The summer data reveals two peculiarities: First, nitrate is largely absent in PM<sub>10</sub>, which is very likely due to the partitioning into the gas phase at high temperatures (Flechard and Fowler, 1998). Aerosol mass spectrometric measurements in Melpitz (Poulain et al., 2011) confirmed that the gas phase partitioning of nitrate is mainly a temperature-driven effect and does occur not only on a seasonal cycle but also on a diurnal cycle.

Second, the fraction of unidentified material is the highest in summer, 40 % on event days and 50 % on non-event days (Fig. 7). This material is associated with insoluble crustal material that usually shows the highest emission rates during the dry summer period. The unidentified fraction is assumed to contain mainly silicates and insoluble carbonates, according to previous work, such as Espinosa et al. (2002). This assumption is supported by the slightly increased coarse particle mass concentration in summer (see Fig. 6). It is also

consistent with the multi annual aerosol study in Augsburg, southern Germany (Birmili et al., 2010), which found a maximum of coarse particles in summer apparently originating from coarse particle resuspension.

The crucial result is that exceedance days are associated with an excess of EC, OC and ammonium sulphate, but with a deficiency of unidentified material, ammonium nitrate and sodium chloride. As EC, OC and ammonium sulphate are typical tracers of anthropogenic emissions over the continent, we conclude that diffuse anthropogenic emissions play their role in raising the rural background PM levels and ultimately leading to PM<sub>10</sub> exceedances at roadside. The highest EC and OC concentrations were found during winter exceedance days.

#### 4.5 Meteorological influence

Table 3 contrasts exceedance and non-exceedance days with the aid of the local meteorological parameters and trace gas concentrations. Values in bold face indicate that the two subsets are significantly different on the basis of a Mann-Whitney-U-test (Wilcoxon, 1945; Mann and Whitney, 1947) with significance level  $\alpha = 0.95$ . The results for the various PM parameters are added for completeness, although the fact that the PM parameters are enhanced on exceedance days is rather intuitive, if not trivial.

Two main findings emerge from Table 3, which explain the occurrence of exceedance days in the cold, and warm seasons, respectively: In the cold season, mostly evident in the winter data, exceedance days are characterised by a combination of (a) low temperature, (b) high air pressure, (c) low wind speed, (d) low amount of precipitation, (e) enhanced concentrations of SO<sub>2</sub>, NO and NO<sub>2</sub>, and (f) reduced concentrations of O<sub>3</sub>. On exceedance days, lower dew point temperatures and absolute humidities were found, but no significant correlation could be found with relative humidity.

These circumstances describe synoptic situations over Central Europe featuring high air pressure and slowly moving air masses. These situations have been described for the atmospheric aerosol by Birmili et al. (2001).

A surprising result is that the relative fraction of the roadside increment is not enhanced on those winter exceedance days. The PM<sub>10</sub> roadside increment usually amounts to about 1/3 of the roadside concentration, and this fraction is practically invariable throughout the seasons of fall, winter and spring (Table 3). This implies a coupling of the average regional background and roadside increment concentrations, although the roadside increment itself can be highly variable in time (cf. Figure 3b). In other words this correlation implies that if the regional background concentration is high, the roadside increment also tends to be higher by a similar proportion. This finding shows that the effects of local and distant sources cannot be truly separated in their effect on the absolute roadside concentrations. Both contributions can therefore be regarded as equally responsible for exceedance

**Table 3.** Characteristics of exceedance days (left numbers, also indicated by  $n$ ) compared to non-exceedance days (right numbers). Bold face indicates a significant difference in the Whitney-Mann-U test on the confidence level  $\alpha = 0.95$ . The tests were made for the total data set and all four seasons individually. Red face indicates significantly higher values, blue face significantly lower values. The temperature anomaly was calculated as the daily mean temperature minus the climatological average temperature for that day of the year.

parameter	unit	All ( $n = 232$ )	Spring ( $n = 79$ )	Summer ( $n = 11$ )	Fall ( $n = 59$ )	Winter ( $n = 83$ )
temperature	°C	<b>5.9</b> /11.1	8.7/10.3	<b>20.9</b> /18.7	10.2/10.6	<b>-1.9</b> /2.9
temperature anomaly	°C	<b>-0.5</b> /0.6	0.9/0.3	<b>3.6</b> /0.3	1.1/0.7	<b>-3.5</b> /1.2
dew point temperature	°C	<b>3.1</b> /7.7	<b>4.4</b> /6.2	<b>16.8</b> /13.6	8.1/8.1	<b>-3.8</b> /1.0
relative humidity	%	<b>82.3</b> /79.4	74.4/75.5	77.4/72.2	<b>86.9</b> /84.4	87.2/87.3
absolute humidity	g/kg	<b>5.9</b> /8	<b>6.4</b> /7.2	<b>14.1</b> /11.6	8.3/8.2	<b>3.7</b> /5.2
air pressure	hPa	<b>1011</b> /1006	<b>1008</b> /1003	1010/1007	<b>1011</b> /1008	<b>1015</b> /1006
wind speed	m/s	<b>1.5</b> /2.5	<b>1.5</b> /2.4	<b>1.1</b> /1.9	<b>1.5</b> /2.6	<b>1.5</b> /3.3
precipitation	mm/day	<b>0.2</b> /1.3	<b>0.2</b> /1.3	1.8/1.8	<b>0.1</b> /1.2	<b>0.1</b> /0.9
SO <sub>2</sub>	µg m <sup>-3</sup>	<b>5.0</b> /2.7	<b>4.5</b> /2.7	2.5/2.3	<b>3.4</b> /2.6	<b>6.8</b> /3.3
O <sub>3</sub>	µg m <sup>-3</sup>	<b>48</b> /61	72/70	87/79	<b>34</b> /46	<b>31</b> /45
NO <sub>2</sub>	µg m <sup>-3</sup>	<b>15.6</b> /9.1	<b>12.2</b> /8	<b>7.9</b> /6.5	<b>13.9</b> /10.2	<b>21.1</b> /12.4
NO	µg m <sup>-3</sup>	<b>2.7</b> /1.4	<b>1.8</b> /1.3	1.1/1.2	<b>2.9</b> /1.4	<b>3.5</b> /1.6
<b>PM<sub>10</sub></b>						
roadside concentration	µg m <sup>-3</sup>	<b>64*</b> /28	<b>63*</b> /29	<b>59*</b> /26	<b>59*</b> /29	<b>69*</b> /28
urban background concentration	µg m <sup>-3</sup>	<b>42</b> /19	<b>42</b> /20	<b>29</b> /19	<b>37</b> /19	<b>46</b> /19
rural background concentration	µg m <sup>-3</sup>	<b>40</b> /19	<b>40</b> /20	<b>29</b> /19	<b>35</b> /18	<b>44</b> /19
roadside increment	µg m <sup>-3</sup>	<b>24</b> /9	<b>23</b> /9	<b>30</b> /7	<b>24</b> /10	<b>25</b> /9
roadside increment	%	<b>35</b> /31	33/32	<b>51</b> /26	37/35	33/32
urban background increment	%	3/0	<b>4</b> /0	0/1	3/1	3/0
regional background	%	<b>62</b> /69	<b>63</b> /70	<b>49</b> /73	59/64	64/67
<b>PM<sub>2.5</sub></b>						
roadside concentration	µg m <sup>-3</sup>	<b>38</b> /15	<b>40</b> /16	<b>31</b> /13	<b>36</b> /16	<b>37</b> /18
rural background concentration	µg m <sup>-3</sup>	<b>33</b> /15	<b>31</b> /17	<b>22</b> /13	<b>29</b> /14	<b>39</b> /16
<b>PM<sub>[2.5;10]</sub></b>						
roadside concentration	µg m <sup>-3</sup>	<b>23</b> /13	<b>22</b> /13	<b>26</b> /12	<b>23</b> /13	<b>26</b> /12
rural background concentration	µg m <sup>-3</sup>	<b>6.4</b> /4.2	<b>8.0</b> /3.9	<b>6.6</b> /5.4	<b>6.5</b> /4.5	<b>5.0</b> /3.1
roadside increment	%	<b>38</b> /46	35/45	<b>46</b> /49	<b>39</b> /47	<b>41</b> /42
regional background	%	<b>17</b> /22	21/18	21/28	<b>19</b> /24	<b>11</b> /17

\* trivial, because exceedance days are defined by PM<sub>10</sub> > 50 µg m<sup>-3</sup>.

of the daily limit value. Reducing either of the two will help to improve the statistics of PM<sub>10</sub> exceedances.

A clearly different mechanism applies to the relatively few exceedance days in summer ( $n = 11$ ): Here, the roadside increment amounts to an unusually high value of 51 % compared to 26 % on non-exceedance days (Table 3). This highlights that exceedances in summer can only occur if there is an unusually high contribution by the local traffic sources. There are no indications that the coarse particle mode would contribute beyond its usual proportion to those summer exceedances. Those summer exceedance days seem rather accidental events that feature, at least, warmer temperatures and lower wind speeds than the usual conditions in summer.

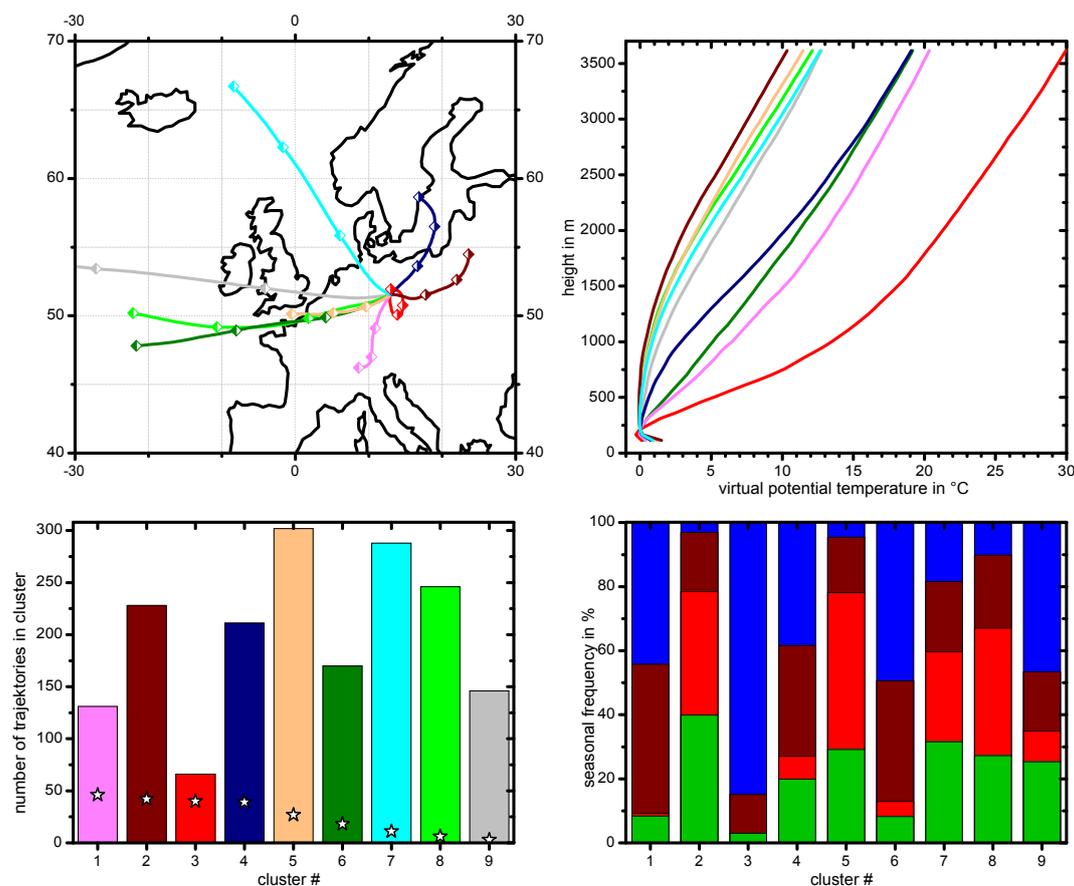
## 5 Back trajectory cluster analysis

Back trajectory cluster analysis was performed to specify the potential influence of synoptic-scale meteorological condi-

tions on the occurrence of PM<sub>10</sub> exceedances. Figure 8 shows the results for a cluster analysis with  $k = 9$  clusters, displaying the geographical origin of the air mass, the prevailing vertical stratification of the atmosphere as well as the absolute number of PM<sub>10</sub> exceedances and the seasonal distribution of the measurement days within each cluster. In Fig. 8 the clusters were arranged according to the descending absolute number of PM<sub>10</sub> exceedances.

### 5.1 Basic characteristics

The cluster analysis distinguishes between two major meteorological aspects: (a) air mass origin and vorticity of the flow, and (b) vertical stratification at noon time, which is climatologically linked to season. Clusters 1–4 share the common feature that their back trajectories originate from continental areas, and tend to be anticyclonic (Fig. 8a). More specifically, cluster 1 represents slowly moving air masses from southern Europe, cluster 2 air masses from Eastern Europe. Cluster 3



**Fig. 8.** Results of the back trajectory cluster analysis, 2005-2009: (a) mean back trajectories, (b) mean pseudo-potential temperature profiles, (c) frequency of the clusters in days (bars) including the number of limit value exceedances (star), (d) seasonal occurrence of each cluster (green: spring, red: summer, brown: fall, blue: winter).

represents stagnant air masses and cluster 4 air masses from Scandinavia. All other clusters (5–9) originate over the North Atlantic and tend to pass much shorter times over the continent.

The nine clusters can also be broken down into five clusters associated primarily with winter and autumn periods (1, 3, 4, 6, 9) and four clusters representing primarily spring and summer periods (2, 5, 7, 8) (Fig. 8d). This seasonality is also reflected in the vertical profiles of pseudo-potential temperature, typically showing temperature inversions at noon time in the cold season but neutral stratification (well-mixed conditions) for the warm season clusters (Fig. 8d).

## 5.2 PM levels

The nine clusters are sorted in descending order of their absolute number of PM<sub>10</sub> exceedances at Leipzig-Mitte. 72 % of the PM<sub>10</sub> exceedances are accounted for by the first four clusters (1–4) alone. The common feature of these clusters is that their three-day back trajectories originate over continental areas, and tend to be anticyclonic (Fig. 8).

Cluster 3 stands out in that it shows the highest PM<sub>10</sub> values both in the background and at roadside (Fig. 9). In cluster 3, PM<sub>10</sub> reaches an average rural background concentration of  $40 \mu\text{g m}^{-3}$ . It is obviously a consequence that it also shows the highest probability of an exceedance to occur (61%, cf. Table 4). Cluster 3 is meteorologically characterised by stagnant air, the largest fraction of winter periods (85 %, Fig. 8d) and the most intense temperature inversions (Fig. 8b). These circumstances cover well the Central European air mass types denoted by “cP” or “cA” (Birmili et al., 2001).

Despite the extremely high PM<sub>10</sub> values, cluster 3 represents a weather situation that occurs only rarely (4% of the time), so it ranks only third in the list of absolute number of exceedances.

For each of the clusters 1–6, one or several meteorological factors can be made responsible for the PM exceedances to occur: residence time of more than three days over the continent (1–5) and/or stable vertical stratification (1, 3, 4, 6). The probability of a PM exceedance to occur falls below 4 % only for the three last clusters (7–9) that show both, the Atlantic

**Table 4.** Frequency, mean meteorological parameters and trace gas concentrations for each cluster. Bold face indicates clusters where a particular parameter is significantly different from the overall population. Red face indicates significantly higher values, blue face significantly lower values. The temperature anomaly was calculated as the daily mean temperature minus the climatological average temperature for that day of the year. The season index is a number between  $-1$  (corresponding to midwinter, 21 December) and  $+1$  (midsummer, 21 June), giving an impression of the seasonal distribution of a particular cluster.

parameter/cluster	unit	mean	1	2	3	4	5	6	7	8	9
air mass			S	E	st.	NE	WSW	WSW	NW	WSW	W
season index			-0.46	0.43	-0.69	-0.12	0.44	-0.46	0.13	0.29	-0.23
frequency	%		7	13	4	12	17	9	16	14	8
exceedance days		232	46	42	40	39	27	18	11	6	3
probability of exceedance	%	13	35	18	61	18	9	11	4	2	2
temperature	°C	10.4	<b>5.8</b>	<b>16.1</b>	<b>-2.1</b>	<b>5.1</b>	<b>15.9</b>	<b>7.3</b>	<b>8.3</b>	<b>14.2</b>	<b>8.8</b>
temperature anomaly	°C	0.5	0.6	<b>1.9</b>	<b>-4.3</b>	<b>-1.8</b>	<b>1.5</b>	<b>2.3</b>	<b>-2.2</b>	<b>1.5</b>	<b>2.9</b>
dew point temperature	°C	7.1	<b>4.2</b>	<b>10.7</b>	<b>-4</b>	<b>3.0</b>	<b>11.8</b>	<b>5.1</b>	<b>5.0</b>	<b>10.2</b>	<b>5.5</b>
relative humidity	%	80	<b>90</b>	70	<b>87</b>	<b>86</b>	<b>76</b>	<b>86</b>	79	<b>77</b>	80
absolute humidity	g/kg	7.7	<b>6.4</b>	<b>9.6</b>	<b>3.6</b>	<b>5.9</b>	<b>10.4</b>	<b>6.8</b>	<b>6.7</b>	<b>9.4</b>	<b>6.9</b>
air pressure	hPa	1007	<b>1005</b>	1010	<b>1015</b>	<b>1012</b>	<b>1004</b>	1006	1007	<b>1005</b>	<b>1002</b>
wind speed	m/s	2.4	<b>2.0</b>	<b>1.7</b>	<b>1.8</b>	2.1	<b>1.7</b>	<b>2.8</b>	2.5	<b>2.9</b>	<b>4.3</b>
precipitation	mm/day	1.2	1.0	<b>0.8</b>	<b>0.1</b>	<b>0.6</b>	1.6	1.5	0.9	<b>1.9</b>	<b>1.5</b>
SO <sub>2</sub>	µg m <sup>-3</sup>	3	<b>3.6</b>	<b>3.2</b>	<b>8.0</b>	<b>3.5</b>	2.6	3.0	<b>2.1</b>	<b>2.4</b>	<b>2.1</b>
O <sub>3</sub>	µg m <sup>-3</sup>	59	<b>31</b>	<b>80</b>	<b>31</b>	<b>46</b>	<b>73</b>	<b>41</b>	59	<b>67</b>	60
NO <sub>2</sub>	µg m <sup>-3</sup>	10.0	<b>15.6</b>	<b>7.8</b>	<b>18.9</b>	<b>11.2</b>	9.2	<b>13.7</b>	<b>7.8</b>	<b>7.9</b>	<b>8.4</b>
NO	µg m <sup>-3</sup>	1.6	<b>2.6</b>	1.4	<b>3.2</b>	<b>1.6</b>	1.6	<b>1.9</b>	<b>1.1</b>	<b>1.1</b>	<b>1.0</b>
PM <sub>10</sub>											
roadside concentration	µg m <sup>-3</sup>	32.6	<b>45.7</b>	38.8	<b>56.7</b>	<b>37.3</b>	32.8	31.5	<b>26.2</b>	<b>23.4</b>	<b>22.9</b>
urban background concentration	µg m <sup>-3</sup>	22.0	<b>31.1</b>	<b>30.2</b>	<b>46.2</b>	<b>25.8</b>	<b>23.6</b>	<b>20.5</b>	<b>16.2</b>	<b>17.4</b>	<b>14.9</b>
rural background concentration	µg m <sup>-3</sup>	21.7	<b>31</b>	<b>27</b>	<b>40.5</b>	<b>24.5</b>	<b>22.1</b>	20.0	<b>15.1</b>	<b>17.1</b>	<b>14.0</b>
roadside increment	µg m <sup>-3</sup>	11.2	<b>14.6</b>	<b>11.9</b>	<b>16.2</b>	<b>13.3</b>	11.1	11.6	11.1	<b>6.7</b>	<b>9.2</b>
roadside increment	%	29	32	<b>22</b>	<b>18</b>	31	28	<b>35</b>	<b>38</b>	<b>26</b>	35
urban background increment	%	4.9	<b>0.2</b>	8.3	10	3.4	4.5	1.7	4	1.5	<b>4</b>
regional background	%	66	68	<b>70</b>	<b>72</b>	66	67	63	<b>58</b>	<b>73</b>	<b>61</b>
PM <sub>2.5</sub>											
roadside concentration	µg m <sup>-3</sup>	18.2	<b>28.1</b>	<b>21.6</b>	<b>33.1</b>	<b>23.7</b>	20.3	16.7	<b>11.0</b>	<b>12.6</b>	<b>10.6</b>
rural background concentration	µg m <sup>-3</sup>	17.4	<b>26.6</b>	<b>21.0</b>	<b>36.0</b>	<b>20.2</b>	16.8	16.0	<b>11.5</b>	<b>12.8</b>	<b>9.9</b>
PM <sub>[2.5;10]</sub>											
roadside concentration	µg m <sup>-3</sup>	13.6	15.1	<b>16.6</b>	10.0	13.6	15.4	12.6	12.7	<b>10.4</b>	12.6
rural background concentration	µg m <sup>-3</sup>	4.6	4.6	<b>6.1</b>	4.5	4.4	<b>5.3</b>	<b>4.1</b>	<b>3.8</b>	4.5	4.1
roadside increment	%	42	<b>33</b>	43	<b>18</b>	<b>36</b>	47	40	<b>49</b>	45	<b>55</b>
regional background	%	21	<b>15</b>	22	<b>12</b>	<b>18</b>	<b>23</b>	<b>19</b>	<b>23</b>	<b>26</b>	<b>27</b>

as a source region (Fig. 8a), wind speeds above 2.5 m s<sup>-1</sup>, and neutrally stable stratification (Fig. 8b). The clusters 7–9 account for 38 % of the observation time but only for 8 % of the PM<sub>10</sub> exceedances (Table 4).

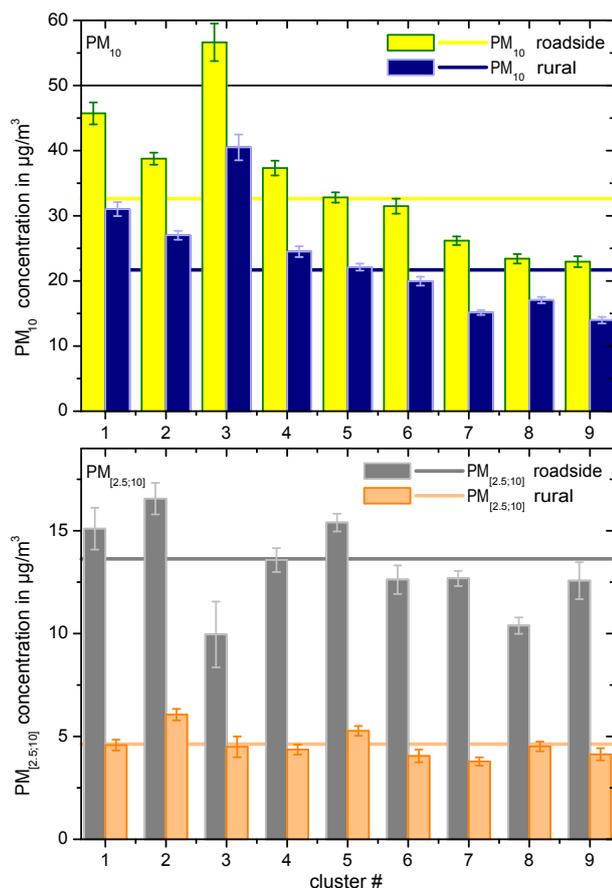
### 5.3 Relationship to meteorology and gas phase species

Table 4 summarizes the properties of the nine clusters including average values of meteorological parameters, trace gases and PM parameters. As in the previous section, Mann-Whitney-U-tests were performed to check whether a cluster deviates from the overall mean with respect to the meteorological, trace gas and PM observations. Bold face in Table 4

indicates statistically relevant differences, with tendency being indicated by colour.

Temperature seems an instrumental factor for cluster 3, which represents average temperatures of  $-2.1$  °C and a temperature anomaly of  $-4.3$  °C. Over the continent, such deep temperatures lead to the formation of the temperature inversions depicted in Fig. 8b. At such temperatures, enhanced emissions from power generation and domestic heating are expected. It is likely that these factors contribute to the extreme PM concentrations of 40 µg m<sup>-3</sup> in the rural background.

Three out of the first clusters (1, 3, 4) are also characterised by an absolute humidity content below average (Table 4).

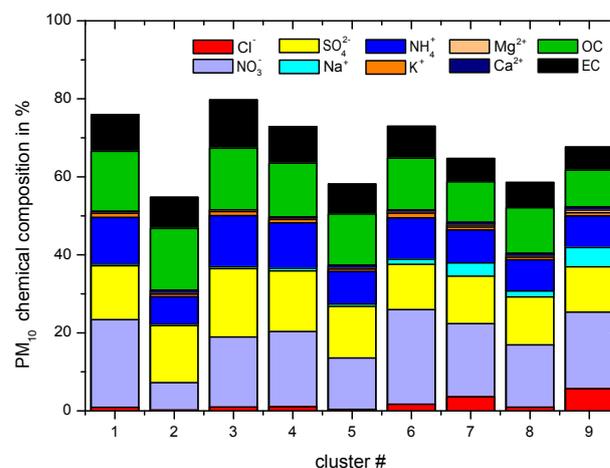


**Fig. 9.** PM<sub>10</sub> and PM<sub>[2.5;10]</sub> concentration at roadside and regional background as well as standard deviation for each cluster. Horizontal lines indicate overall averages for a particular parameter.

This is likely due to the continental history of the air masses and generally leads to low amounts of precipitation, which is confirmed in the Table as well.

The first five clusters (1–5) show wind speeds on or below average (Table 4). This confirms that the poor dispersion conditions associated with these low wind speeds are a likely factor contributing to the PM exceedances. Air pressure is an indicator insofar as the clusters 3 and 4 are associated with high pressure areas residing over, or just north of Central Europe (Table 4).

Cluster 2 is a different case in that it shows a non-negligible fraction of exceedances (18%), but represents warm conditions with good vertical mixing (Fig. 8b). While its trajectory indicates source regions over Eastern Europe (Fig. 8a), we also see some excess in coarse PM both at roadside and in the rural background (Fig. 9b). It can be thought that the rather warm and sunny conditions (as indicated by the ozone level of  $80 \mu\text{g m}^{-3}$ ) lead to the resuspension of coarse PM over dried-out surfaces. As indicated by the coarse PM data in Fig. 9b this resuspension seems to occur both, regionally and locally.



**Fig. 10.** Chemical composition of PM<sub>10</sub> in the rural background during each cluster. The amount missing to 100% is inaccessible to the chemical analyses performed and interpreted as insoluble crustal material.

As another finding, the cold season clusters 1, 3 and 4 show a significant correlation with gas phase pollutants like SO<sub>2</sub> and NO<sub>2</sub> (Table 4). Especially SO<sub>2</sub> can be regarded as an indicator for regional pollution emissions and remote transport from distant source regions. Not surprisingly the peak pollution concentrations were found in the winter time cluster 3 described above.

#### 5.4 Chemical composition of background PM

The chemical composition of PM<sub>10</sub> at the rural background site Melpitz strongly depends on the air mass origin (Fig. 10).

Nitrate is scarce in clusters 2, 5, and 8, i.e. clusters associated with warm periods. As in Sect. 4.4, we explain this by the thermodynamic partitioning of nitrate into the gas phase. Nitrate is most abundant in cluster 6 (almost 25% of the mass), i.e. air slowly moving towards our region under study from westerly wind directions. Sulphate is present in all clusters, but most abundant in cluster 3, which has been identified as stagnant inversion situation in wintertime above.

The clusters leading to most of the PM exceedances are characterised by an excess in carbonaceous species, OC and EC. EC amounts to roughly 10% in cluster 3. As EC and OC are predominantly emitted and/or generated over land, we take these values as further evidence for the role of land-based emissions in generating a regional background of PM<sub>10</sub>.

Chloride is only available in traces, but shows a clear relationship to high wind speeds and maritime source regions (clusters 7 and 9; Fig. 10). As the relative amounts of chloride in PM<sub>10</sub> are inversely related to the number of PM exceedances, we conclude that sea salt plays no role for such exceedances in Leipzig.

Most variation, however, is included in the amount of PM inaccessible to chemical analysis. This unidentified mass fraction amounts to almost 50% in some clusters. It is intriguing that this fraction is the highest in the warm season clusters 2, 5, and 8. This leads to the consistent picture that crustal material, which is assumed to be the major constituent in the unidentified fraction, is more abundant in the warm and dry season.

For cluster 2, the chemically unidentified fraction amounts to  $17.5 \mu\text{g m}^{-3}$ . Meanwhile, the coarse PM mass concentration (in the size range 2.5–10  $\mu\text{m}$ ) amounts to  $16.6 \mu\text{g m}^{-3}$ . The similarity of these values suggest a leading role of the diffuse resuspension source for the budget of PM<sub>10</sub> in cluster 2. A roadside increment of coarse PM of  $10.5 \mu\text{g m}^{-3}$  highlights the relevance of resuspension due to local traffic for the PM<sub>10</sub> exceedances in Leipzig.

## 6 Discussion

### 6.1 Local vs. regional contributions

Comparing the PM<sub>10</sub> levels at roadside and in the rural background yields a positive trend across the nine trajectory clusters (Fig. 9). The relative contribution of local traffic-related sources was the highest for low background concentrations; nevertheless, the regional contribution was between 58 and 73% of the local PM<sub>10</sub> mass concentration. This is in good agreement with the results by Lenschow et al. (2001) in the city of Berlin, who found 50% of urban PM<sub>10</sub> originating from the regional background. They reported the traffic as the most important group of sources causing high PM<sub>10</sub> concentrations at kerbside, with up to 15% of PM<sub>10</sub> mass concentration resulting from resuspended soil. These contributions show that horizontal advection of a regionally polluted air mass is usually not sufficient to cause an exceedance day at the traffic site.

However, the source contributions from regional, urban background and traffic related sources were surprisingly similar for all clusters, independent of the weather situation. This is why we suppose, that both regionally and locally close to ground emitted particles are accumulated in the boundary layer in case of favorable meteorological conditions, but the source contributions remain nevertheless similar. This would result in different absolute concentrations but the same relative contributions. To investigate this more detailed, the chemical composition has to be considered as well, which is displayed in Fig. 10 for the regional background site.

### 6.2 Analogies in Europe

Former studies have shown that the residence of air masses over continents leads to the accumulation of tropospheric aerosol. One reason is the presence of natural and anthropogenic sources over land. Another is the loss of moisture in air masses over land, which leads to a lesser degree of wet

deposition in air masses that have travelled long over continents. Inversion situations and a low mixing layer height have, for example, been related to high concentrations of gases and particulates in other European (Kukkonen et al., 2005) or German cities (Schäfer et al., 2006).

Measurements in the United Kingdom have shown that the advection of air masses from continental Europe and the regional formation of secondary aerosol were responsible for the majority of exceedance days (Charron et al., 2007). These authors also found an increased regional background contribution for exceedance days.

Nitrate was identified as the leading chemical compound in PM<sub>10</sub> during limit value exceedances (Yin and Harrison, 2008). These authors also found contributions of up to 40% at kerbside. In this study, in comparison, more modest contributions of about 20% were found for exceedance days, but nevertheless this secondary source was a main contributor to PM<sub>10</sub> mass concentration as well.

In Spain, a comparable number of exceedance days was found by Escudero et al. (2007), but the reasons were seldom identified in continental air masses. In southern Europe, dust originating from resuspension over arid surfaces as well as remote transport of Saharan dust apparently play a crucial role in the generation of PM exceedances. Nevertheless, anti-cyclonic conditions in wintertime seem to cause an accumulation of regional pollution even over the Iberian peninsula.

In addition, Viana et al. (2007), identified significant episodes of PM<sub>10</sub> pollution in western Europe with air masses of European origin. They concluded the origin of the exceedances being anthropogenic, but may be long-range transport and not only local pollution. As a matter of fact, trajectories from eastern Europe frequently coincide with anti-cyclonic scenarios, leading to air mass stagnation.

### 6.3 Future perspectives

In Germany, the national emissions for PM<sub>10</sub> are expected to further decrease (updated information from UBA (2009)). However, this continuing trend of decreasing emissions has not yet been observed in the air quality networks. It therefore remains to be answered whether the emissions known to the air quality authorities correspond to the real emissions.

The year 2011 saw the introduction of a “low-emission-zone” in the city of Leipzig. This can be seen as a local governmental effort to reduce PM<sub>10</sub> in the city. The low-emission-zone implies that only vehicles adhering to enhanced emission standards (Euro 4 and better) are permitted to enter the city. It is expected that this measure will reduce the local contributions to PM<sub>10</sub>, including the toxicologically relevant substances relevant to diesel soot.

However, since the majority of traffic-related PM<sub>10</sub> emissions are associated with abrasion and resuspension (Harrison et al., 2008), it is unclear whether the PM<sub>10</sub> reductions will be sufficient to prevent future violations of the legal limit value. Dijkema et al. (2008) reported a more effective

reduction of PM<sub>10</sub> at roadside due to speed limit reduction (and thus less resuspended road dust) compared to the impact of reduced emission vehicles. However, the non-exhaust-related traffic emissions, which increase with increasing traffic volume independent of exhaust emission regulations, are of environmental significance, since they may contain trace metals causing negative health effects as well (Weckwerth, 2001; Sternbeck et al., 2002). These non-exhaust emissions are more or less constant during the year but depending on the traffic volume, the type of road, the pavement and the meteorological conditions. They are reduced by rainfall of at least 2 mm h<sup>-1</sup>, probably because this results in a relatively long period with wet road surface and thus reduced resuspension (Keuken et al., 2010).

## 7 Conclusions

Five years of PM<sub>10</sub> and PM<sub>2.5</sub> measurements at three observation sites (roadside, urban and regional) were analyzed with respect to limit exceedances of PM<sub>10</sub> according to the EC directive 1999/30/EC. Four specific weather situations have been recognized as favourable for limit exceedances in Leipzig. Each of them contributes about 18% to the number of daily limit exceedances, thus explaining 72% of the total number of exceedances. This indicates the complexity of the causes of limit value exceedances, and also the difficulty to predict such limit value exceedances by models.

Statistical and back trajectory cluster analysis yielded the result that PM<sub>10</sub> concentrations were enhanced on a regional scale during specific wintertime weather situations: high pressure, low temperatures, lack of precipitation, low wind speeds and stable stratification. Atmospheric stratification seemed instrumental in generating exceptionally high PM<sub>10</sub> mass concentrations at roadside as well as in the regional background through accumulation below the atmospheric inversion. The coarse PM fraction at the roadside site was clearly higher than at the regional site. This points to the relevance of coarse particle emissions due to local traffic, likely caused by resuspension of road dust, break and tire abrasion.

Our analysis suggests that local as well as regional sources of PM are – in case of favourable meteorological conditions – equally responsible for exceedance days at the roadside site. The meteorological conditions can be critical in limiting dilution and thus in enhancing accumulation of the particles and thus influence the generation of exceedances. The conclusion is that a combined effort of local, national and international reduction measures appears most likely to avoid systematic exceedances of the daily limit value in the future.

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